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# ***Euclid* preparation: VIII. The Complete Calibration of the Colour-Redshift Relation (C3R2) Survey: VLT/KMOS Observations and Data Release**

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## **ABSTRACT**

The Complete Calibration of the Color-Redshift Relation (C3R2) survey is a spectroscopic effort involving ESO and Keck facilities designed specifically to empirically calibrate the galaxy colour-redshift relation —  $P(z|C)$  to the *Euclid* depth ( $i_{AB} = 24.5$ ) and is intimately linked to the success of upcoming Stage IV dark energy missions based on weak lensing cosmology. The aim is to build a spectroscopic calibration sample that is as representative as possible of the galaxies of the *Euclid* weak lensing sample. In order to minimize the number of spectroscopic observations necessary to fill the gaps in the current knowledge of the  $P(z|C)$ , self-organizing maps (SOM) representations of the galaxy colour space have been constructed. Here we present the first results of an ESO@VLT Large Programme approved in the context of C3R2, that makes use of the two VLT optical and near-infrared multi-object spectrographs, FORS2 and KMOS. This data release paper focuses on high-quality spectroscopic redshifts of high-redshift galaxies observed with the KMOS spectrograph in the near-infrared  $H$ - and  $K$ -bands. A total number of 424 highly-reliable redshifts are measured in the range  $1.3 \leq z \leq 2.5$ , with a total success rate of 60.7% in the  $H$ -band and 32.8% in the  $K$ -band. The newly determined redshifts fill the 55% of high (mainly regions with no spectroscopic measurements) and 35% of lower (regions with low-resolution/low-quality spectroscopic measurements) priority empty SOM grid cells. We measure  $H\alpha$  fluxes in a  $1''.2$  radius aperture from the spectra of the spectroscopically confirmed galaxies and convert them into star-formation rates. In addition, we performed SED fitting analysis on the same sample in order to derive stellar masses,  $E(B - V)$ , total magnitudes, and SFRs. We combine the results obtained from the spectra with those derived via SED fitting, and we show that the spectroscopic failures come from either weakly star-forming galaxies (at  $z < 1.7$ , i.e. in the  $H$ -band) or low S/N spectra (in the  $K$ -band) of  $z > 2$  galaxies.

**Key words.** astronomical databases: catalogs - astronomical databases: surveys - cosmology: observations - galaxies: distances and redshifts

## **1. Introduction**

The existence of a direct connection between cosmic shear and the presence of gravitational fields created by the distribution of matter along the line of sight motivated the develop-

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ment of a number of weak lensing cosmological surveys, both space-based, such as *Euclid* (Laureijs et al. 2011) and WFIRST (Spergel et al. 2015), and ground-based, such as the ongoing Kilo-Degree Survey (KiDS, de Jong et al. 2013), Dark Energy Survey (DES, Dark Energy Survey Collaboration et al. 2016), and Hyper Suprime-Cam Subaru Strategic Programme (HSC SSP, Aihara et al. 2017), and the future Vera C. Rubin Observatory survey (LSST, LSST Science Collaboration et al. 2009). The main advantage of space missions with respect to ground-based ones is the absence of atmospheric turbulence, which leads to images with smaller and more stable point-spread functions (PSFs), allowing cosmological analyses at higher redshifts. Besides turbulence, space is key for near-infrared observations, thanks to the lower background which makes it possible to reach higher redshift than the ground-based surveys.

The aim of the aforementioned projects is to determine galaxy shape distortions and make use of weak lensing principles to measure the geometry of the Universe and trace the evolution of large-scale structure (LSS) to shed light on the complex relation between galaxies and the dark components of the Universe. In this respect, the outcome of these ambitious programmes heavily depends on the precise determination of the true ensemble redshift distribution, or  $N(z)$ , and thus an accurate reconstruction of the 3D distribution of galaxies. To lowest order, weak lensing is primarily sensitive to the mean redshift and the width of the redshift distribution in tomographic bins (Amara & Réfrégier 2007).

Moreover, the sensitivity of weak lensing tomography to the dark energy equation of state, cannot disregard the ability to measure the growth of structure by dividing the source samples by redshift. The difficulty of finding optimal tomographic redshift bins for cosmic shear analysis has been studied by recent works, and solutions based on dimensionality reduction approach through *self-organizing maps* (SOM, Kohonen 2001) have been explored (Kitching et al. 2019).

In the case of *Euclid*, this translates into stringent requirements on the knowledge of the redshift distribution of sources evaluated in terms of (1) the precision of individual redshifts, which must be  $\sigma_z < 0.05(1+z)$ , and (2) the mean redshift  $\langle z \rangle$  of each tomographic bin, which must be constrained at the level of  $\Delta\langle z \rangle \leq 0.002(1 + \langle z \rangle)$ .

The *Euclid* satellite, scheduled for launch in 2022, will observe galaxies out to at least  $z = 2$  over  $15\,000\,\text{deg}^2$  by means of two instruments: VIS, an optical imager that will reach an AB magnitude depth of 24.5 with a single broad  $r + i + z$  filter, and NISP, a combined near-infrared imager (in  $Y$ ,  $J$  and  $H$ ) and slitless spectrograph. The estimated number of weak lensing source galaxies that will be imaged from *Euclid* makes their systematic spectroscopic follow-up unfeasible; this mission is thus critically dependent upon the determination of accurate photometric redshifts ( $z_{\text{phot}}$ ). However, the accuracy of current photometric redshifts based on multi-band optical surveys is of order  $\sigma_z/(1+z) = 0.03 - 0.06$  and the fraction of catastrophic outliers — defined as objects whose  $z_{\text{phot}}$  differs from their spectroscopic redshift ( $z_{\text{spec}}$ ) by more than  $0.15(1+z)$  is of order a few ten percent (Ma et al. 2006; Hildebrandt et al. 2010). While small changes in  $z_{\text{phot}}$  precision per source have a relatively small impact on cosmological parameter estimates, small systematic errors in  $z_{\text{phot}}$  can dominate all other uncertainties for these experiments.

In this work, we present the results of all the redshift measurements on  $z > 1$  galaxies performed during five semesters in the context of an ESO Large Programme at the Very Large Telescope (VLT, the detailed presentation can be found in Sect.

2), using the near infrared KMOS spectrograph. The campaign conducted with FORS2 on the lower redshift targets will be presented in a companion paper (Castander et al., in prep.). The paper is organised as follows: Sect. 2 presents the concept and the characteristics of the C3R2 Survey; in Sect. 3 we present the survey strategy; in Sect. 4 we describe the observations and data reduction; in Sect. 5 we discuss the redshift determination and the attribution of a flagging scheme consistent over the whole C3R2 survey; in Sect. 6 we present the results of the redshift assignment in terms of success rate and SOM cells coverage; in Sect. 7 we determine and discuss the galaxy physical properties in terms of  $H_\alpha$  fluxes and stellar masses and investigate their location in the star formation rate-stellar mass ( $\text{SFR}-M_\star$ ) plane; finally, we present our conclusions in Sect. 9.

Throughout the paper we assume  $H_0 = 70\,\text{km s}^{-1}\,\text{Mpc}^{-1}$ ,  $\Omega_m = 0.3$ ,  $\Omega_\Lambda = 0.7$ . We adopt a Chabrier (2003) initial mass function (IMF) in the mass range  $0.1 - 100\,M_\odot$ .

## 2. Mapping the colour-redshift relation with spectroscopy

In order to overcome the limitations of current techniques used to estimate  $n(z)$ , a complete calibration set of spectroscopic data is required. This spectroscopic calibration sample should be representative of the entire range of galaxy types and redshifts that are going to be exploited by a given weak-lensing survey.

### 2.1. Dimensionality reduction approach to $P(z|C)$ calibration

In order to shed light on our current knowledge of the galaxy population for weak lensing measurements, and in particular for *Euclid*, Masters et al. (2015, hereafter M15) made use of a SOM to map the high-dimensional galaxy colour space onto a 2D plane. We use the SOM to group galaxies according to the similarity of their colours (i.e. of their spectral energy distributions; SEDs) in order to unveil which regions of the galaxy colour space (represented by cells in the plane) are not represented in currently available spectroscopic surveys. This grouping strategy allows us, in turn, to minimize the number of additional spectroscopic redshifts necessary to build a complete and representative calibration sample. The underlying assumption of this methodology is that, for a dense enough SOM and a sufficiently high-dimensional colour-space, there exists a unique and non-degenerate relation between the position occupied by a galaxy in a multi-colour space and its redshift —  $P(z|C)$ . Similar dimensionality reduction approaches in the context of weak lensing cosmological surveys have been used in recent works, using e.g. absolute magnitudes instead of colours in order to calibrate photometric redshifts (Wright et al. 2019, in press). The authors stress the importance of using magnitudes for having reference to an absolute flux scale in order to calibrate the  $n(z)$  for *Euclid*. Starting from a photometric sample of galaxies selected using the *Euclid* magnitude limit and grouped using the *Euclid* colours, and the corresponding spectroscopic subsamples available in the Cosmological Evolution Survey (COSMOS, Scoville et al. 2007) field, M15 estimated that a total collection of  $\sim 10 - 15\,\text{K}$  spectra would be necessary in order to fill the galaxy colour space and cover the whole set of parameters characterizing the galaxy population that will be observed by *Euclid*. About half of them are already available from various spectroscopic surveys in the literature, whereas approximately 5000 new redshifts should be observed in order to calibrate the current photometric redshift techniques and meet the *Euclid* requirements. Galaxies in these

unexplored regions of colour space are generally fainter than  $i_{AB} \sim 23$  and lie at intermediate redshift,  $0.2 < z < 2.0$ : they correspond to a population of faint, blue galaxies at intermediate redshift, which have not been targeted because they are near the magnitude limit of previous surveys. However, their abundance and unique colours make them an important part of the galaxy population and crucial sources for weak lensing cosmology. Based on their spectral energy distributions we expect that the objects targeted will be mostly low-metallicity galaxies with strong emission lines. A minor number of cells contain faint red galaxies that are either passively evolving or dust obscured, but these constitute only 10–20% of the unexplored sample.

Hence, M15 collected a large number of existing spectroscopic measurements in the COSMOS field (Capak et al. 2007; Scoville et al. 2007; Lilly et al. 2007) to identify the type (and number) of sources that require spectroscopic follow-up in order to accurately map the full galaxy colour-redshift relation. The work has since then been extended to four additional fields: the VIMOS VLT Deep Survey (VVDS) field, the Subaru/XMM-Newton Deep Survey (SXDF) field, the Extended Groth Strip field (EGS), within the All-Wavelength EGS International Survey, AEGIS) and the Extended *Chandra* Deep Field-South (E-CDFS) field.

## 2.2. C3R2 overview

The Complete Calibration of the Color-Redshift Relation (C3R2; Masters et al. 2017; M17 hereafter) survey was designed to perform a systematic spectroscopic effort by means of two observing campaigns involving two telescope facilities. Part of the spectroscopic follow-up is conducted with the Keck telescopes using a combination of the DEIMOS, LRIS and MOSFIRE instruments, with time allocated from all Keck partners (M17). The second part is overseen by the ESO Very Large Telescope (VLT) and its UT1 instruments FORS2 and KMOS.

M17 presented the results of the first five nights of observations using the Keck facilities during the 2016A semester, leading to the release of 1283 high-confidence redshifts (Data Release 1). A further 3171 new high quality spectroscopic redshifts were obtained during 2016B and 2017A semesters and are released in (Masters et al. 2019, M19, Data Release 2). A third C3R2@Keck data release is in preparation (Stanford et al., in prep.).

## 2.3. C3R2 @VLT

In order to build a large sample of spectroscopic redshifts for the calibration of the photometric redshifts of upcoming cosmological surveys we obtained a 200 h large programme (199.A-0732; PI F. J. Castander) in service mode over four semesters (Period P99: 1<sup>st</sup> April 2017 – P102: 31<sup>st</sup> March 2019 + *carryover*). The large programme allocated 112 h to FORS2, a multi-object optical slit spectrograph and 88.8 h to KMOS, an integral field unit (IFU) spectrograph covering the near-infrared wavelength regime. KMOS observations were automatically carried over P103 to complete a few P102 pointings in the SXDF field. The VLT campaign targets the same extragalactic fields observed with the Keck programme with the exception of EGS, which is not accessible from the Southern hemisphere.

## 3. Target selection and KMOS IFU settings

### 3.1. Observed fields

In order to reduce the impact of sample variance on the calibration of photometric redshifts, the spectroscopic follow-up observations are conducted in a number of extragalactic calibration and deep fields planned for the *Euclid* mission. However, we expect that these commonly observed fields will also be the calibration fields of other upcoming surveys such as LSST and WFIRST; this spectroscopic follow-up effort will therefore be beneficial for the wide field survey community at large.

The major driving criterion in the choice of such fields is the possibility of collecting a homogeneous and well-calibrated photometric sample of galaxies observed in eight filters (*ugrizYJH*, 7 colours) from the optical to the near-infrared domain down to the *Euclid* limiting magnitude but with five times higher signal-to-noise ratio. A combination of the Canada-France-Hawaii Telescope Legacy Survey (CFHTLS) deep fields in the *ugriz* optical magnitude and the VISTA or CFHT-WIRCAM Deep Survey (WIRDS) in the *YHK* near-infrared bands was found to meet these requirements. The finally targeted fields are COSMOS (from which the SOM was derived; RA=10<sup>h</sup> 0<sup>m</sup>, Dec=2° 12'), the VIMOS-VLT Deep Survey field centered at RA=2<sup>h</sup> (VVDS-02h, VVDS hereafter; Le Fèvre et al. 2005; RA=2<sup>h</sup> 26<sup>m</sup> Dec=−4° 30'), the Subaru/XMM-Newton Deep Survey field (SXDF; Furusawa et al. 2008; RA=2<sup>h</sup> 18<sup>m</sup> Dec=−5°) and the Extended *Chandra* Deep Field-South Survey field (E-CDFS; Lehmer et al. 2005; four fields centered at the following coordinates: Field 1, RA=3<sup>h</sup> 33<sup>m</sup> 5<sup>s</sup>.61 Dec=−27° 41' 8".84; Field 2, RA=3<sup>h</sup> 31<sup>m</sup> 51<sup>s</sup>.43 Dec=−27° 41' 38".80; Field 3, RA=3<sup>h</sup> 31<sup>m</sup> 49<sup>s</sup>.94 Dec=−27° 57' 14".56; Field 4, RA=3<sup>h</sup> 33<sup>m</sup> 2<sup>s</sup>.93 Dec=−27° 57' 16".08). The Keck part of C3R2 additionally targets the Extended Groth Strip field (EGS; RA=14<sup>h</sup> 19<sup>m</sup> Dec=52° 41'), inaccessible to VLT facilities. We note that the SXDF and E-CDFS fields currently lack uniform photometry in the full suite of the aforementioned optical and near-infrared filters at the required depth, but as they provide a considerable number of spectroscopic redshifts, they were included after applying a rough colour correction to convert into the CFHTLS+VISTA/WIRDS-like system (see M17).

### 3.2. Prioritization scheme and target selection

C3R2 prioritizes targets in regions of the SOM that lack spectroscopic redshifts. High-priority targets have colours that are frequent (i.e. fall in cells with high occupation) and are therefore extremely valuable to calibrate the redshift-to-colour relation. The C3R2 prioritization scheme (extensively described in M19) therefore gives higher weights to sources with common colours in still uncharted cells. As observations are obtained and spectroscopic redshifts determined, the target catalogue and priority flags are updated.

Spectroscopic redshift measurements are based on the identification of emission lines in the observed galaxy spectra, with higher priority given to the detection of the often prominent H $\alpha$  line ( $\lambda$ 6564.61 Å<sup>1</sup>). The grisms selected for the KMOS obser-

<sup>1</sup> In order to operate at near infrared wavelengths the entire working parts of the instrument are cooled to below −130°C with the detector cooled even further to below −200°C. To achieve this the entire instrument is contained in a vacuum within a cryostat to prevent icing and extra heat load on the fragile components. Therefore, the wavelength values should be considered in restframe vacuum units, e.g. H $\alpha$ <sub>restframe</sub>=6564.61 Å

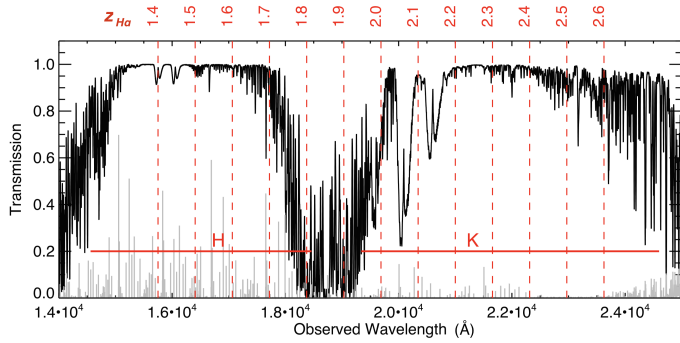


Fig. 1: Telluric absorption curve (black curve) in the wavelength range covered by the KMOS *H*- and *K*-band gratings (red horizontal lines); the light gray spectrum in the bottom part of the panel represents the emission lines produced by the OH radical in the atmosphere between 0.61  $\mu\text{m}$  and 2.62  $\mu\text{m}$ . The red labels on the top horizontal axis indicate the redshift ( $1.4 < z < 2.6$ ) of a galaxy whose  $H\alpha$  emission line falls at the wavelength indicated by the position of the vertical red dashed lines.

variations are *H* (1.456 – 1.846  $\mu\text{m}$ ) and *K* (1.934 – 2.460  $\mu\text{m}$ ); we thus target galaxies with a photometric redshift that positions the  $H\alpha$  line within the observed wavelength range but avoids its contamination by atmospheric absorption windows as well as OH night-sky emission lines, as shown in Fig. 1.

We select high-redshift star-forming galaxy candidates with  $1.3 < z_{\text{phot}} < 1.7$  and  $2.0 < z_{\text{phot}} < 2.5$  to be observed with the *H* and *K* grisms, respectively, and divide them into two classes of priority based on the prioritization scheme defined in M19:

- *H*-band, priority 1:  $1.3 \leq z_{\text{phot}} \leq 1.7$ ,  $i_{\text{tot}} \leq 24.5$ , and the priority flag computed in M19 ( $P_F$ )  $\geq 500^2$ ;
- *H*-band, priority 2:  $1.3 \leq z_{\text{phot}} \leq 1.7$ ,  $i_{\text{tot}} \leq 24.5$ , and  $200 \leq P_F < 500$ ;
- *K*-band, priority 1:  $2.0 \leq z_{\text{phot}} \leq 2.5$ ,  $i_{\text{tot}} \leq 24.7$ , and  $P_F \geq 500$ ;
- *K*-band, priority 2:  $2.0 \leq z_{\text{phot}} \leq 2.5$ ,  $i_{\text{tot}} \leq 24.7$ , and  $200 \leq P_F < 500$ .

*H*-band  $P_F \geq 500$  corresponds to the top 7.2% of KMOS selection list,  $P_F \geq 200$  corresponds to the top 18%. *K*-band priority  $> 500$  corresponds to the top 16% of the KMOS selection list, priority  $> 200$  corresponds to the top 33%.

A fraction of the COSMOS, SXDF and E-CDFS fields have been extensively observed in the past with KMOS as part of the KMOS3D program, one of KMOS Guarantee Time Observations programmes (Wilkinson et al. 2015) using the *YJ*, *H* and *K* gratings. We have removed all sources already observed by the KMOS3D team from the present target selection. Their spectroscopic redshifts (of exquisite precision) are publicly released (Wisnioski et al. 2019) and are going to be used for the calibration of the *Euclid* photometric redshifts (KMOS3D, <http://www.mpe.mpg.de/ir/KMOS3D/data>).

## 4. Observations and data reduction

In this section, we describe the acquisition and reduction of the data.

<sup>2</sup> The  $P_F$  parameter computed in M19 ranges from 0 up to 3750; 89% of the SOM cells have  $P_F \leq 500$ .

### 4.1. Observation design

KMOS is a multiplexed near-infrared integral field system (IFS) with 24 deployable image slicers (commonly referred to as ‘arms’), surveying a 7'.2 diameter patrol field area. Each arm has a field of view (FoV) of  $2''.8 \times 2''.8$  ( $14 \times 14$  pixel IFS units) and a spatial resolution of  $0''.2/\text{spaxel}$ . The IFS units connect to three cryogenic grating spectrometers with  $2\text{k} \times 2\text{k}$  Hawaii-2RG HgCdTe detectors. As previously mentioned, among the five available KMOS gratings (*IZ*, *YJ*, *H*, *K*, *HK*), our observations make use of the *H*- and *K*-bands (plus tentative *YJ*), characterised by a typical spectral resolution of about 3500. The observations were prepared with the KMOS Arm Allocator (KARMA; Wegner & Muschielok 2008) software, and submitted through the Phase 2 Proposal Preparation (P2PP) tool. Hereafter an individual KARMA setup (made of 24 arm allocations), will be referred to as a ‘pointing’. Each pointing was observed for a total of 3600 s split into single exposures of 300 s each, using a O-S-O-S-O pattern (i.e. a ‘sky’ exposure is observed every two ‘object’ exposures). The sky exposures were offset with respect to targets to the closest position uncontaminated by sources. Additional subpixel/pixel dithering shifts were also applied at every exposure to minimize the impact of pixel-to-pixel variation and bad pixels in the final science data cube. One of the 24 KMOS IFUs was allocated to a star (with an observed magnitude of  $15.0 < H < 16.5$ ) during the science observations (with the exception of 7/36 pointings). The star allows us to track variations in the PSF and photometric conditions between the frames; the star is therefore referred to as the PSF star.

The standard requirements of the KARMA software for preparing a KMOS pointing are (1) the presence of a sufficient number of acquisition stars (with observed magnitudes  $13.5 < H < 17$ ) within the patrol field of a given KMOS pointing and preferentially equally distributed among the 24 arms and 3 spectrometers/detectors: these stars are used to align KMOS, (2) the absence of bright stars (which would create persistency) superposed with the path of the KMOS arms on the field of view, and (3) the presence of at least one bright guide star (with an observed magnitude  $9 < R < 12$ ) in the vicinity of the pointing to maintain telescope tracking. All the aforementioned stellar sources must have low proper motion. Specifically we required  $|\mu_{\text{RA}}|$  and  $|\mu_{\text{Dec}}| < 20 \text{ mas yr}^{-1}$ .

The observations cover four distinct fields whose observability spreads adequately throughout the year. The number of hours allocated per semester and per field is reported in Table 1. The corresponding number of pointings are indicated in parentheses, split between the *H*- and *K*-bands, with a slight preference of *H*-band over *K*-band to maximise the redshift measurement success rate. A detailed list of the pointings observed in P99–P103 is reported in Table 2. Each Observing Block (OB) is composed of two pointings of 1 h on sky, which provides about 40 minutes on source. These pointings can be either observed during the same night or on different nights. In the latter case, the observations are reduced separately and then combined. Only during the last awarded period (P102) the time on source for *K*-band pointings was doubled in order to increase the detectability of the targeted galaxies. The data reduction procedure, described in the next section, is applied to the single science and sky frames separately and the frames are combined at the end of the reduction, after the whole pointing (2 OBs) has been observed.

#### 4.2. Data reduction

The data were reduced with the Software Package for Astronomical Reduction with KMOS (SPARK; Davies et al. 2013) using recipes outlined in the SPARK Instructional Guide<sup>3</sup>. The reduction first applies a correction for detector effects, including (1) the correction of the readout channel variations via the reference pixels (pixels without photodiodes but with full electronics readout), and (2) the correction for the picture frame effects affecting IFUs at the edges of the detector, using median DARK frames. The reduction then proceeds through the standard calibration steps, namely flat fielding, illumination correction, wavelength calibration (the accuracy of the wavelength solution is of the order of  $30 \text{ km s}^{-1}$ ), reduction of the spectrophotometric standards, and finally the data cube reconstruction. After this stage, an additional custom processing was performed on these reconstructed data cubes to further subtract the sky-lines. The custom-made sky-line correction routine is an adaptation of the Zurich Atmosphere Purge (ZAP; Soto et al. 2017) approach to the KMOS data. The routine subtracts the closest sky frame to the science frame in the O-S-O-O-S-O sequence and then further optimizes the fitting to the OH sky-line residuals via a ZAP principal component analysis (Wisnioski et al. 2019). The background continuum is removed using offset sky frames without attempting to correct for short time scale background variations, and thus some residual continuum levels are still expected. An illumination correction is then applied to flatten out the IFU spatial response. A heliocentric correction is finally performed before the data cubes are combined.

A further set of reduction steps is applied by means of a routine developed by the KMOS GTO Team in order to perform the flux calibration and a refined background subtraction (Wisnioski et al. 2019). The flux calibration procedure can be summarised in three operations: a) correction for the grism+detector wavelength response using a telluric star; b) application of the zero point to convert fluxes to units of  $10^{-17} \text{ W m}^{-2} \mu\text{m}^{-1}$  (to be further multiplied by 0.1 to obtain  $\text{erg cm}^{-2} \text{s}^{-1} \text{\AA}^{-1}$ ) and c) fit of the PSF star in the science data with a Moffat function for the monitoring of the flux and estimation of the PSF from its average FWHM across the frames, and measured again on the combined data cubes for consistency checks. Individual frames are then median-combined into final cubes using spatial shifts measured from the average center of the stars within the same pointings (when applicable) or using the information given in the header of each frame. Variations in flux and seeing among the combined frames are typically 10% and  $0''.1$ , respectively. A detailed description of the data reduction for KMOS data cubes can be found in Wisnioski et al. (2019).

<sup>3</sup> <ftp://ftp.eso.org/pub/dfs/pipelines/kmos/kmos-pipeline-cookbook-0.9.pdf>

Table 1: List of the awarded time (in h) for KMOS observations. Below the number of hours, in parenthesis, the number of the observed pointings is indicated, together with the selected filter, e.g.  $3H+2K$  means that three pointings have been observed in the  $H$ -band and two pointings in the  $K$ -band.

Field	P99	P100	P101	P102	Total
COSMOS	7.6 (2H+1.5YJ*)	10.8 (3H + 2K)	0	10.8 (5H)	29.2 (10H+2K)
ECDFS	0	0	2.2 (1H)	0	2.2 (1H)
SXDF	0	8.7 (2H+2K)	5.4 (1H+1K)	10.8 (3H**+1K)	24.9 (6H+4K)
VVDS	6.5 (2H+1K)	10.8 (3H+2K)	6.5 (2H+1K)	8.7 (2H+2K)	32.5 (9H+6K)
Total	14.1	30.3	14.1	30.3	88.8

\* We had initially planned to target sources with  $1.8 < z_{\text{phot}} < 2.0$ , for which the On doublet is in the  $YJ$ -grating. The detection of On is challenging in high-redshift galaxies and our first observations in P99 were met with a low success rate. We therefore decided starting in P100 to exclusively concentrate on the detection of  $H\alpha$  in the  $H$ - and  $K$ -gratings.

\*\* The observation of the last three  $H$ -band pointings in the SXDF field (see Table 2 for details) was carried over P103.

Table 2: List of the observed pointings.

Pointing ID	RA <sub>cen</sub> (deg)	Dec <sub>cen</sub> (deg)	Exp_time (s)	Filter	UT Date (yyyy.mm.dd)	Success Rate ( $3 \leq Q \leq 4/Q=2/\text{Observed}$ )
P99_COSMOS_HaHP1	149.8900	1.9003	2 × 1800	H	2017.04.03	14/4/22
P99_COSMOS_HaHP3	150.1672	1.8391	1800	H	2017.04.02	16/4/22
			1800	H	2017.04.04	
P99_VVDS_HaHP2	36.3758	-4.2529	2 × 1800	H	2017.12.23	18/2/22
P99_VVDS_HaHP3	36.2548	-4.4108	1800	H	2017.09.06	14/3/22
			1800	H	2017.09.14	
P99_VVDS_HaKP1	36.2005	-4.0997	1800	K	2017.07.12	5/5/22
			1800	K	2017.09.14	
P100_COSMOS_HaHP1	150.3757	2.5168	1800	H	2018.03.03	12/1/22
			1800	H	2018.03.17	
P100_COSMOS_HaHP2	150.3964	2.4168	2 × 1800	H	2018.03.24	11/0/22
P100_COSMOS_HaHP3	150.3342	2.3114	2 × 1800	H	2018.04.07	17/2/22
P100_COSMOS_HaKP1	150.3758	2.5113	1800	K	2018.03.17	7/1/22
			1800	K	2018.03.24	
P100_COSMOS_HaKP2	150.4966	2.5003	1800	K	2018.04.04	9/0/22
			1800	K	2018.04.06	
P100_SXDF_haHP1	34.6131	-5.3581	2 × 1800	H	2017.10.01	8/2/22
P100_SXDF_haHP2	34.7924	-4.8665	2 × 1800	H	2017.12.25	16/0/22
P100_SXDF_haKP1	34.6130	-5.3587	1800	K	2017.10.26	3/1/22
			1800	H	2018.07.29	
P100_SXDF_haKP2	34.7925	-4.8669	1800	K	2018.07.27	1/0/22
			1800	H	2018.09.09	
P100_VVDS_haHP1	36.5006	-4.0833	1800	H	2018.01.20	14/3/22
			1800	H	2018.01.21	
P100_VVDS_haHP2	36.6674	-4.4833	1800	H	2018.07.29	12/5/22
			1800	H	2018.08.27	
P100_VVDS_haKP1	36.6257	-4.4940	1800	K	2017.11.09	7/3/22
			1800	K	2018.01.15	
P100_VVDS_haKP2	36.7924	-4.5337	1800	K	2018.09.08	11/0/22
			1800	K	2018.09.09	
P100_VVDS_haHP3	36.7922	-4.4501	1800	H	2018.10.30	11/0/22
			1800	H	2018.10.31	
P101_ECDFS_haHP1	53.0840	-27.7418	1800	H	2018.07.03	12/1/22
			1800	H	2018.08.30	
P101_SXDF_haHP1	34.0842	-5.1167	1800	H	2018.09.03	12/0/22
			1800	H	2018.10.31	
P101_SXDF_haKP1	34.3047	-5.3420	2 × 1800	K	2018.12.09	5/1/22
			1800	K	2018.12.11	
			1800	K	2018.12.14	
P101_VVDS_haHP1	36.8047	-4.1669	2 × 1800	H	2018.09.04	17/0/22
P101_VVDS_haHP2	36.9217	-4.5527	2 × 1800	H	2018.12.14	15/1/22

P101_VVDS_haKP1	36.7296	-4.4668	$2 \times 1800$	<i>K</i>	2018.11.12	8/0/22
P102_P100_VVDS_HaKP1*	36.6257	-4.4944	1800	<i>K</i>	2018.12.20	15/3/22
			1800	<i>K</i>	2018.12.21	
P102_P99_VVDS_HaKP1*	36.2009	-4.1000	1800	<i>K</i>	2018.12.21	15/0/22
			1800	<i>K</i>	2018.12.22	
P102_VVDS_HaHP1	36.5424	-4.8001	1800	<i>H</i>	2018.12.22	12/3/22
			1800	<i>H</i>	2018.12.24	
P102_VVDS_HaHP2	36.3672	-4.2446	$2 \times 1800$	<i>H</i>	2018.12.24	14/2/22
P102_COSMOS_HaHP1	150.0840	2.2193	1800	<i>H</i>	2019.02.14	8/5/22
			1800	<i>H</i>	2019.02.23	
P102_COSMOS_HaHP2	150.2464	1.8080	1800	<i>H</i>	2019.02.21	12/0/22
			1800	<i>H</i>	2019.02.23	
P102_COSMOS_HaHP3	149.7305	2.1500	$2 \times 1800$	<i>H</i>	2019.02.22	12/0/22
P102_COSMOS_HaHP4	149.8884	2.5663	1800	<i>H</i>	2019.02.27	14/0/22
			1800	<i>H</i>	2019.03.12	
P102_COSMOS_HaHP5	150.4503	2.0366	$2 \times 1800$	<i>H</i>	2019.01.19	12/0/22
P102_SXDF_HaKP1	34.6756	-5.2782	1800	<i>K</i>	2019.01.25	1/0/22
			1800	<i>K</i>	2019.02.13	
			1800	<i>K</i>	2019.02.14	
			1800	<i>K</i>	2019.02.18	
P102_SXDF_HaHP1	34.6673	-5.2670	1800	<i>H</i>	2019.02.19	12/3/22
			1800	<i>H</i>	2019.07.14	
P102_SXDF_HaHP2	34.2004	-5.2056	1800	<i>H</i>	2019.07.17	9/3/22
			1800	<i>H</i>	2019.07.18	
P102_SXDF_HaHP3	34.6981	-5.0032	1800	<i>H</i>	2019.07.30	10/0/22

\*These pointings are replicated configurations of two low success rate *K*-band VVDS pointings observed during P99 (P102\_P99\_VVDS\_HaKP1) and P100 (P102\_P100\_VVDS\_HaKP1): the overall configuration is maintained, but new objects have been allocated to arms in which a good spectroscopic redshift was derived during the earlier observations (quality flag from 3 to 4, which means that we replaced 5-7 galaxies per pointing).



## 5. Redshift assignment

The observational programme performed with KMOS@VLT aims at deriving the spectroscopic redshift of  $1.3 \lesssim z_{\text{phot}} \lesssim 2.5$  galaxies through a single emission line, mainly  $H\alpha$  in the  $H$ - and  $K$ -band filters.

Each observed spectrum was analyzed by two co-authors to determine independently the redshift and the quality flag. The results are then reconciled and discussed together by the two people. We developed an interactive routine that is applied to the reduced and combined data cubes for the redshift assignment. The code proceeds through several steps:

- When continuum is visible, find the position of the targeted source in the spatial plane of the median image of the data cube, otherwise use the nominal center at the pixel with coordinates  $(x, y) = (9, 9)$ ;
- create two-dimensional (2D) vertical/horizontal spectra computing the median flux at each wavelength of four lines/columns around the central pixel;
- identify the presence of an emission line either in the vertical and/or in the horizontal 2D spectrum and select a narrower (about 10 pixels) wavelength range to determine the pixels where the emission is detected;
- plot the  $(x, y)$  spatial image of the cube at four pixels corresponding to the wavelengths where the emission has the highest intensity in order to identify both the wavelength (in pixel units) of the peak of the emission and the  $(x, y)$  coordinates of its center;
- plot the 1D spectrum of the selected central spaxel and the 1D spectrum obtained by summing up the flux in a number of adjacent pixels to increase the signal to noise (the number of pixels varies from a cross of five to a square of nine, depending on the spatial extension of the source);
- perform a Gaussian fit weighted by the noise spectrum on the identified emission line;
- choose visually the most appropriate value of the emission line center between the position of the mean of the fitted Gaussian and the position of the peak pixel;
- compute the redshift with the formula

$$z_{\text{spec}} = (\lambda_{\text{peak/Gaussian}} - \lambda_{H\alpha}) / \lambda_{H\alpha}, \quad (1)$$

where  $\lambda_{\text{peak/Gaussian}}$  is the wavelength (in  $\mu\text{m}$ ) corresponding to the pixel peak or to the center of the fitted Gaussian, and  $\lambda_{H\alpha}$  is the  $H\alpha$  vacuum wavelength expressed in  $\mu\text{m}$ .

### 5.1. Quality flags

Each redshift measurement is assigned a *preliminary* quality flag reproducing the flagging scheme presented in M17:

- $Q = 4$ : indicates a secure redshift measurement based on the identification of more than one emission line. Specifically, the  $H\alpha$  line is associated with the  $\text{NII}$  doublet at  $\lambda 6549.84 \text{ \AA}$ ,  $\lambda 6585.23 \text{ \AA}$ . In one case, the  $\text{OII}$  doublet ( $\lambda 3727.09 \text{ \AA}$  and  $\lambda 3729.88 \text{ \AA}$ ) instead of the  $H\alpha$  line was identified. Details on how the identification and fit of these groups of lines is performed is given in Sect. 5.2;
- $Q = 3.5$ : indicates a secure redshift measurement based on a single emission line (usually  $H\alpha$ );
- $Q = 3$ : indicates a likely secure redshift determination, but with a low probability of an incorrect identification or an uncertain redshift due to low signal-to-noise data or sky-line contamination affecting the Gaussian fit;

- $Q = 2$ : flag 2 indicates a reasonable but not secure enough guess. The targets being assigned with this flag are discarded from the calibration sample, and not included in the released catalogue.

### 5.2. Refine the redshift assignment with KUBEVIZ

Maps of the emission line fluxes are obtained from the reduced data cube using the IDL routine KUBEVIZ (Fossati et al. 2016). The code simultaneously fits groups of lines (defined as ‘line-sets’, e.g.  $H\alpha$  and the  $\text{NII}$   $\lambda 6548.05$ ,  $\lambda 6583.45$  doublet, or the  $\text{OII}$   $\lambda 4958.91$ ,  $\lambda 5006.84$  doublet) using a combination of 1D Gaussian functions with fixed relative velocities. The continuum level is evaluated as the median value of the flux with an intensity from 40% to 60% within the total range of values inside two symmetric wavelength windows around each lineset, and then subtracted. During the fit, KUBEVIZ takes into account the noise from the ‘stat’ data cube, thus optimally suppressing sky line residuals. Furthermore, we reject from the fit the spaxels with  $\text{SNR} < 4.0$  from the fit, and manually reject bad fit and isolated spaxels from the map.

There are several reasons that motivate the use of KUBEVIZ on the KMOS reduced data cubes: (1) fitting the  $H\alpha + \text{NII}$  lineset improves the  $z_{\text{spec}}$  measurement: starting from the  $H\alpha$  emission map of the galaxy and its corresponding velocity ( $v$ ) map, we arbitrarily choose as center ( $v = 0$ ) of the galaxy the spaxel that best compromises the peak of the  $H\alpha$  emission with the center of the galaxy signal/velocity map (if present), and correct the input  $z_{\text{spec}}$  and the relative velocity of every spaxel accordingly. (2) A successful KUBEVIZ fit of low-quality spectroscopic candidates (those that were assigned a  $Q = 2$  flag at the redshift assignment stage) allows their spectroscopic confirmation by promoting the quality flag of the  $z_{\text{spec}}$  measurement, and thus their inclusion in the calibration sample. (3) Finally, the KUBEVIZ outputs constitute the groundwork for measuring the total  $H\alpha$  flux of the sources, described in detail in Sect. 7.2.

### 5.3. Collecting multi-band photometry

We collected all available multi-wavelength photometry for the galaxy sample observed during the KMOS programme from public data releases in the three fields<sup>4</sup>.

#### 5.3.1. COSMOS

We start from the COSMOS2015 catalogue released in Laigle et al. (2016), which contains precise PSF-matched photometry for more than half a million of sources in the COSMOS field. Among the wide collection of photometric bands available in the data release, we selected CFHT  $u'$  and Subaru  $B$ ,  $V$ ,  $R$ ,  $i^+$ ,  $z^+$  and  $z^{++}$  optical aperture magnitudes ( $3''$ ),  $YJHKs$  near-infrared aperture magnitudes ( $3''$ ) from the UltraVISTA-DR2 survey, mid-infrared data from the *Spitzer* Large Area Survey with Hyper-Suprime-Cam (SPLASH) legacy program (IRAC ch1, ch2, ch3, ch4 total magnitudes), and GALEX  $NUV$  total magnitudes.

We computed total magnitudes in the optical and near-infrared domain starting from the aperture magnitudes and the correction factors given in the released catalogue using Eq. (9)

<sup>4</sup> The multicolor photometry used here is optimized to measured physical parameters of galaxies of known spectroscopic redshift; other choices might be preferable when computing photometric redshifts (see Masters et al. 2015).

in the Appendix of Laigle et al. (2016):

$$\text{MAG\_TOTAL}_{i,f} = \text{MAG\_APER3}_{i,f} + o_i - s_f, \quad (2)$$

where  $i$  identifies the single objects,  $f$  the considered filter,  $\text{MAG\_APER3}$  is the magnitudes computed within a  $3''$  radius aperture contained in the catalogue,  $o_i$  is the photometric offset computed for scaling aperture magnitudes to total ones and  $s_f$  is the systematic offset computed in the paper using spectroscopic redshifts. Finally, all magnitudes should also be corrected for foreground Galactic extinction using the reddening values given in the released catalogue for each object (Eq. 10 in the Appendix):

$$\text{MAG\_TOTAL}_{i,f,\text{extcorr}} = \text{MAG\_TOTAL}_{i,f} - E(B - V)_i \times F_f, \quad (3)$$

where  $F_f$  is the extinction factor of any given filter.

Besides the photometric information, we keep also the  $z_{\text{phot}}$  and physical properties ( $E(B - V)$ , absolute magnitudes, median stellar masses and SFR from the maximum likelihood – ML – analysis of LePhare) derived in Laigle et al. (2016) by means of the SED fitting code LePhare (Arnouts et al. 1999; Ilbert et al. 2006) run on the complete 30 band photometric data set.

### 5.3.2. SXDF

We collected multi-band photometry in the SPLASH survey data release Mehta et al. (2018). We considered optical aperture magnitudes ( $3''$ ) from CFHT  $u$  filter and from the Hyper Suprime-Cam (HSC) UltraDeep layer in the  $griz$  filters; the near-infrared regime is fully covered by the VISTA Deep Extragalactic Observations (VIDEO) Survey  $YJHKs$  aperture magnitudes ( $3''$ ) and the mid-infrared takes advantage of the IRAC coverage (ch1, ch2, ch3, ch4) from SPLASH.

Aperture magnitudes were corrected to total values using the offsets given in the released catalogue table (OFFSET\_MAG) and all magnitudes were corrected for foreground extinction following the same procedure described in Sect. 5.3.1 for the COSMOS field. Consistent with what was done in Laigle et al. (2016) for the COSMOS field, Mehta et al. (2018) performed the SED fitting analysis of the SXDF photometric sample using LePhare. We take advantage of the outputs of their analysis to collect the physical properties of all our observed galaxies ( $E(B - V)$ , absolute magnitudes, best-fit stellar masses and SFRs).

### 5.3.3. VVDS

A complete and homogeneous collection of photometry in the VVDS-02h field is contained in the VIDEO Survey, which has been merged with the CFHTLS Deep1 optical ( $ugriz$ ) catalogue (Jarvis, M. & Häussler, B., priv. comm.). The catalogue contains aperture magnitudes within  $2''$  radius measured in an homogeneous manner in all the optical and near-infrared filters. We computed the aperture to total magnitude offsets using the SExtractor MAG\_AUTO values given in the catalogues and the photometric errors, according to Eq. (4) and (5) in Laigle et al. (2016):

$$o = \frac{1}{\sum_{\text{filters } i} w_i} \times \sum_{\text{filters } i} (\text{MAG\_AUTO} - \text{MAG\_APER})_i \times w_i \quad (4)$$

where

$$w_i = \frac{1}{(\sigma_{\text{AUTO}}^2 + \sigma_{\text{APER}}^2)_i}, \quad (5)$$

The offsets are computed for each object in the catalogue ( $i$ ) using all the bandpasses in the optical and near-infrared domain. We finally corrected total magnitudes for Milky Way foreground extinction using the Schlegel et al. (1998) maps (consistent with what was used in Laigle et al. 2016) at the coordinates of each object and using the appropriate filter factors, as given in Eq. (3).

In order to investigate and compare the properties of all the observed galaxies with the spectroscopically confirmed ones, and to have consistent  $z_{\text{phot}}$  measurements throughout the three explored fields, we ran LePhare on the whole set of collected filters and derived  $z_{\text{phot}}$  and physical properties of all observed VVDS galaxies ( $E(B - V)$ , absolute magnitudes, median stellar masses and SFR from the ML analysis).

## 6. Results I: The success rate of the redshift assignment

In light of the concepts outlined above, the success rate (SR) of the KMOS spectroscopic campaign in the context of the C3R2 survey must be evaluated in two ways: (1) as any spectroscopic survey, as the ratio (or, equivalently, percentage) of the total number of high-quality  $z_{\text{spec}}$  measured with respect to the number of targets observed; (2) as the total number of empty/undersampled cells that are newly filled with spectroscopically confirmed galaxies. Needless to say, these two quantities should be considered together: a large number of high-quality  $z_{\text{spec}}$  assigned to a small number of cells is less valuable than a smaller number of high-quality  $z_{\text{spec}}$  covering a larger number of empty SOM cells.

The total number of  $z_{\text{phot}}$  targets observed with KMOS was 805, 424 of which provided a secure redshift measurement ( $Q \geq 3$ ), leading to a total SR of 51.4%. The detailed SR of the four semesters and two filters is listed Table 3. Overall, the SR of  $H$ -band observations is twice that of the  $K$ -band observations, likely primarily due to the higher backgrounds at longer wavelength. Additional challenges are caused by the lowering of the precision of currently available template fitting techniques as redshift increases, and also the lower brightness of the targets themselves. Doubling the exposure time of  $K$ -band pointings and repeating the observation of two  $K$ -band pointings observed during P99 and P100, was not conclusive in this respect: the  $K$ -band SR in P102 only slightly increased compared to previous periods. Whether this result is mainly due to the limited accuracy of  $z_{\text{phot}}$ -based target selection or to the necessity of longer exposure times to increase the SNR of the spectra is still unclear, but a detailed analysis of the spectroscopic failures is presented in Sect. 6.1.

Figure 2 presents a comparison between the photometric (individual and SOM-based) redshifts and high-quality ( $Q \geq 3$ ) KMOS spectroscopic redshifts. The dashed lines trace the boundaries outside which the photometric redshifts are considered “catastrophic outliers”,  $|z_{\text{phot}} - z_{\text{spec}}|/(1 + z_{\text{spec}}) \geq 15\%$ . The top panels of Fig. 2 compare the individual  $z_{\text{phot}}$  redshift estimates with our  $z_{\text{spec}}$  measurements: according to these quantities, our sample contains one catastrophic outlier. This galaxy observed in the  $H$ -band, has a  $z_{\text{phot}} = 1.6565$ ,  $z_{\text{spec}} = 1.2632$ , and  $Q = 3.0$ . A detailed analysis of this target pointed out a discrepancy between the *individual* (from template fitting) and the SOM-based  $z_{\text{phot}}$  estimates ( $z_{\text{phot,SOM}} = 1.9407$ ), which could be the reason of the misplacement of this target in the  $z_{\text{spec}}-z_{\text{phot}}$  plane. Furthermore, we notice that there is a target observed in the  $H$ -band having  $z_{\text{phot}} \leq 1.6$ , but validated at  $z_{\text{spec}} \geq 2$ , thanks to the identification of the OIII ( $\lambda 4960.30 \text{ \AA}$ ,  $5008.24 \text{ \AA}$ ) lines.

Table 3: Success rate of KMOS observations.

Period	<i>H</i> -band	<i>K</i> -band
P99	72/88* (81.8%)	5/22** (22.7%)
P100	106/176 (60.2%)	46/132 (34.8%)
P101	53/89 (59.6%)	13/44 (29.5%)
P102	117/220 (53.2%)	12/51 (30.4%)
Total	348/573 (60.7%)	76/232 (32.8%)

\* 72 galaxies with accurate  $z_{\text{spec}}$  estimate ( $Q \geq 3$ ) over 88 observed targets.

\*\* Pointing re-observed during P102. Since 17 out of 22 galaxies were re-observed, the contribution to the total number of observed objects in the *K*-band from P99 is just 5.

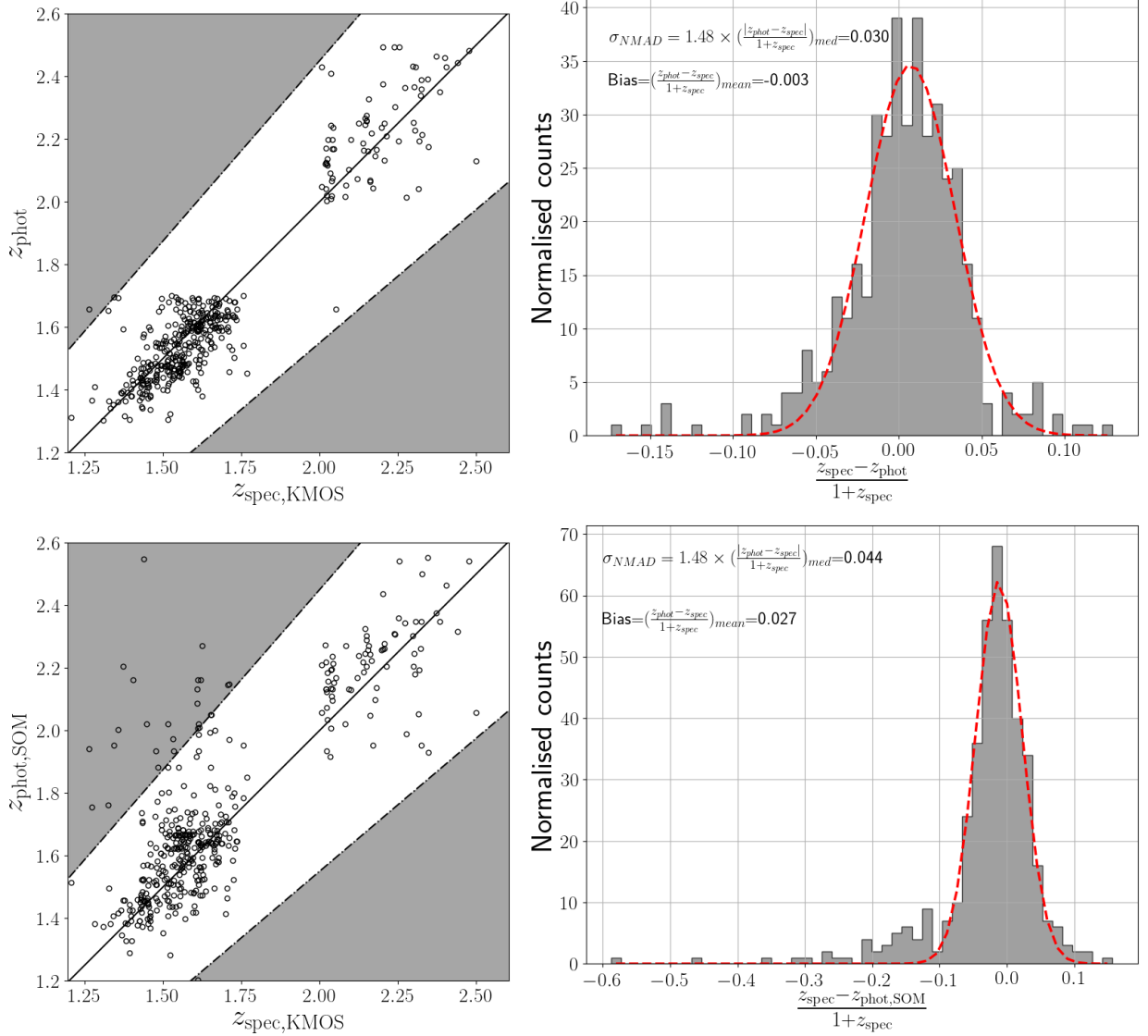


Fig. 2: *Top left*: comparison between  $z_{\text{phot}}$  and  $z_{\text{spec}}$  for high-quality ( $Q \geq 3$ ) redshift galaxies observed during the four periods of the KMOS Large Programme. Lower redshift targets are observed with the *H*-band grism, higher redshift ones with the *K*-band. The dashed lines define the region outside which the  $z_{\text{phot}}$  is considered a “catastrophic failure” (grey area in the plot), defined by a redshift error  $|z_{\text{phot}} - z_{\text{spec}}|/(1 + z_{\text{spec}}) \geq 15\%$ . *Top right*: histogram of the  $(z_{\text{phot}} - z_{\text{spec}})/(1 + z_{\text{spec}})$  of all high-quality redshift targets. A Gaussian with mean and sigma equal to the bias and  $\sigma_{\text{NMAD}}$  respectively is overplotted with a red dashed line. *Bottom left*: same as the *top left* panel but comparing  $z_{\text{phot,SOM}}$  and  $z_{\text{spec}}$ . *Bottom right*: same as the *top right* panel but with  $z_{\text{phot,SOM}}$ .

The bottom panels of Fig. 2 show the same statistical analysis to compare the  $z_{\text{spec}}$  with the redshift of the SOM cell each galaxy belongs to ( $z_{\text{phot,SOM}}$ ).

We point out that the SOM is not intended to be used for individual redshift estimates, and therefore one should not be

surprised that its performance in getting individual  $z_{\text{phot}}$  values is worse than individual multi-band template fitting. However, comparing the distribution of  $z_{\text{phot}}$  and  $z_{\text{spec}}$  in individual SOM cells is fundamental for a better understanding of cell occupation (e.g. in order to quantify the  $z_{\text{phot}}$  dispersion of galaxies occupy-

ing the same cell or to pinpoint multiple peaks in the distribution of galaxies) and for highlighting problematic regions in the SOM.

The incidence of catastrophic outliers is significantly higher when  $z_{\text{phot,SOM}}$  is considered. These 25 galaxies fall into 18 different cells in the SOM, and have an individual  $z_{\text{phot}}$  more in line with the measured  $z_{\text{spec}}$ ; furthermore, in case of multiple observations within the same SOM cell, these galaxies have individual redshifts which are in line with the other galaxies populating the cell. This result leads us to conclude that there is a misalignment between the redshift of the cell and the redshift of the individual galaxies that compose it. A better understanding of the distribution of individual  $z_{\text{phot}}$  of galaxies in the aforementioned SOM cells is given in figure 3. All galaxies in the C3R2 parent  $z_{\text{phot}}$  sample are used to populate the cells, and the  $z_{\text{phot,SOM}}$  is also represented inside each panel with the dashed vertical line. As noticeable from the dispersion values of the histograms (horizontal errorbars centered on the mean  $z_{\text{phot}}$ ), the  $z_{\text{phot}}$  distribution peaks close to the  $z_{\text{phot,SOM}}$  value, but high dispersion and/or double peaks are present in many of the cells; multiple spectroscopic redshift measurements occupy a narrow redshift range in the panels, often separated from the  $z_{\text{phot,SOM}}$ . Euclid galaxies that are assigned to these problematic cells need to be flagged, as their photometric redshift could be difficult to calibrate.

The mean value of the redshift difference

$$\text{mean}\left(\frac{z_{\text{phot}} - z_{\text{spec}}}{1 + z_{\text{spec}}}\right) \quad (6)$$

is represented as the mean value of the (red dashed) Gaussian in Fig. 2. When comparing  $z_{\text{spec}}$  with the individual  $z_{\text{phot}}$ , the value is  $-0.0029$ , and  $-0.0070$  and  $0.0148$  separately in the  $H$ - and  $K$ -bands, respectively, further confirming the decreasing precision of current photometric redshift estimates with increasing redshift. The redshift difference increases to  $0.027$  when considering the comparison between  $z_{\text{spec}}$  and  $z_{\text{phot,SOM}}$ , and  $0.030$ ,  $0.013$  in the  $H$ - and  $K$ -band, respectively. The higher  $H$ -band bias reflects the increased number of catastrophic outliers, which are all located at  $z_{\text{spec}} \leq 1.75$ .

The normalized median absolute deviation, a dispersion measure that is not sensitive to catastrophic outliers (Ilbert et al. 2009; Dahlen et al. 2013), defined as

$$\sigma_{\text{NMAD}} = 1.48 \times \text{median}\left(\frac{|z_{\text{phot}} - z_{\text{spec}}|}{1 + z_{\text{spec}}}\right) \quad (7)$$

is  $0.0301$  (3%) when individual  $z_{\text{phot}}$  are considered, and  $0.0443$  ( $\geq 4\%$ ) when  $z_{\text{phot,SOM}}$  are used, pointing out that not only the number of catastrophic outliers increases, but also the dispersion of the datapoints in the white region of the (left-hand panels) scatter plots in Fig. 2. The values of the  $\Delta\langle z \rangle$  and  $\sigma_{\text{NMAD}}$  are in agreement with the results presented in M17 and M19.

We compute the number of cells containing P1/P2 targets (according to the priorities defined in Sect. 3.2) with a SOM photometric redshift  $1.3 < z_{\text{phot,SOM}} < 1.7$  (for  $H$ -band targets) and  $2.0 < z_{\text{phot,SOM}} < 2.5$  (for  $K$ -band targets). The SOM has a number of P1 and P2 cells in this redshift range of 283 and 327, respectively. These numbers indicate the nominal goal of C3R2 in the near-infrared, and will be used as a reference. The number of P1/P2 cells covered by all KMOS observations (i.e. by all targets placed in KMOS pointings from P99 until P103) is 274 and 162, respectively. 57% (156/274) of the P1 cells occupied by the KMOS  $z_{\text{phot}}$  candidates were spectroscopically confirmed, and the percentage increases to 70% (113/162) for the P2 targets. The result is represented in Fig. 4. The histograms

shown in Fig. 4 clearly mirror our observing strategy: we preferentially observe P1 targets covering empty SOM cells, and use P2 targets as fillers for optimising and maximising the number of observed galaxies in one pointing.

### 6.1. Spectroscopic failures and uncalibrated cells

We next analyse the properties of galaxies that were observed but for which we could not assign a spectroscopic redshift. The main purpose of this analysis is to understand whether there are biases in the data and where these failures are located in the SOM. **To this end, we consider the physical parameters derived from SED fitting in Laigle et al. (2016) and Mehta et al. (2018) for the COSMOS and the SXDF field, respectively. The reason for this choice is twofold. First, when trying to explore the properties of non spectroscopically validated galaxies, we are forced to rely on  $z_{\text{phot}}$  and  $z_{\text{phot}}$ -based physical parameters, which are better determined when a broader photometric sample in terms of number of available filters is used. Both Laigle et al. (2016) and Mehta et al. (2018) base their SED fitting analysis on a broad number of filters spanning the whole spectrum. Furthermore, the two are comparable as the same PSF homogeneity was adopted on the data and the same template library was used for photometric redshift calculation. Second, our LePhare setup is a close imitation to what performed in the two data releases, though limited to a restricted number of filters. In order to check that we do not introduce any bias, we have run LePhare on the photometric samples with the same configuration described in Sect. 7 but without fixing the redshift and we compared the results with those from Laigle et al. (2016) and Mehta et al. (2018). In the COSMOS field, the average difference between stellar masses is  $0.090$  with a rms of  $0.17$  and between the (SED fitting based) SFRs is  $0.003$  with a rms of  $0.229$ . In the SXDF field, the average difference between stellar masses is  $0.069$  with a rms of  $0.313$  and between the (SED fitting based) SFR is  $0.237$  with a rms of  $0.473$ . In light of the above, our set of physical parameters is compatible within the errors with the literature but with larger uncertainties. Although all the conclusions discussed below do not change with our derivation, in the following we will always refer to the results from the literature.**

Figure 5 illustrates the distributions of the  $z_{\text{phot}}$ , observed total  $H$  magnitudes and SED fitting star formation rates (SFRs) and stellar masses for all galaxies observed during our KMOS programme (green histograms), for the subsamples of spectroscopically confirmed targets (orange histograms) and for the targets that could not be assigned a redshift (blue open histograms). The distributions of validated and non-validated targets present some differences, with the former being slightly brighter and more star-forming: the median value of  $H$  is  $22.78$  in the validated sample and  $22.84$  in the non-validated one. Similarly, the median  $\log_{10}(\text{SFR}/M_{\odot}\text{yr}^{-1})$  values are  $1.41$  and  $1.21$  in the two samples, respectively. From the bottom right panel of the figure, we can finally notice that our spectroscopic completeness, in terms of number of galaxies validated with respect to the total number of galaxies observed, is a function of stellar mass. Specifically, at low-stellar masses ( $\log_{10}(M_{\star}/M_{\odot}) < 9.5$ ) the fraction of validated targets is around  $0.5$ , likely reflecting the low SNR deriving from the limited integration time of our observations; the ratio between validated targets and observed ones reaches the value of  $0.7$  at  $9.5 < \log_{10}(M_{\star}/M_{\odot}) < 10$  and finally decreases to the lowest values at higher stellar masses. A better understanding of the reasons which prevented us from assign-

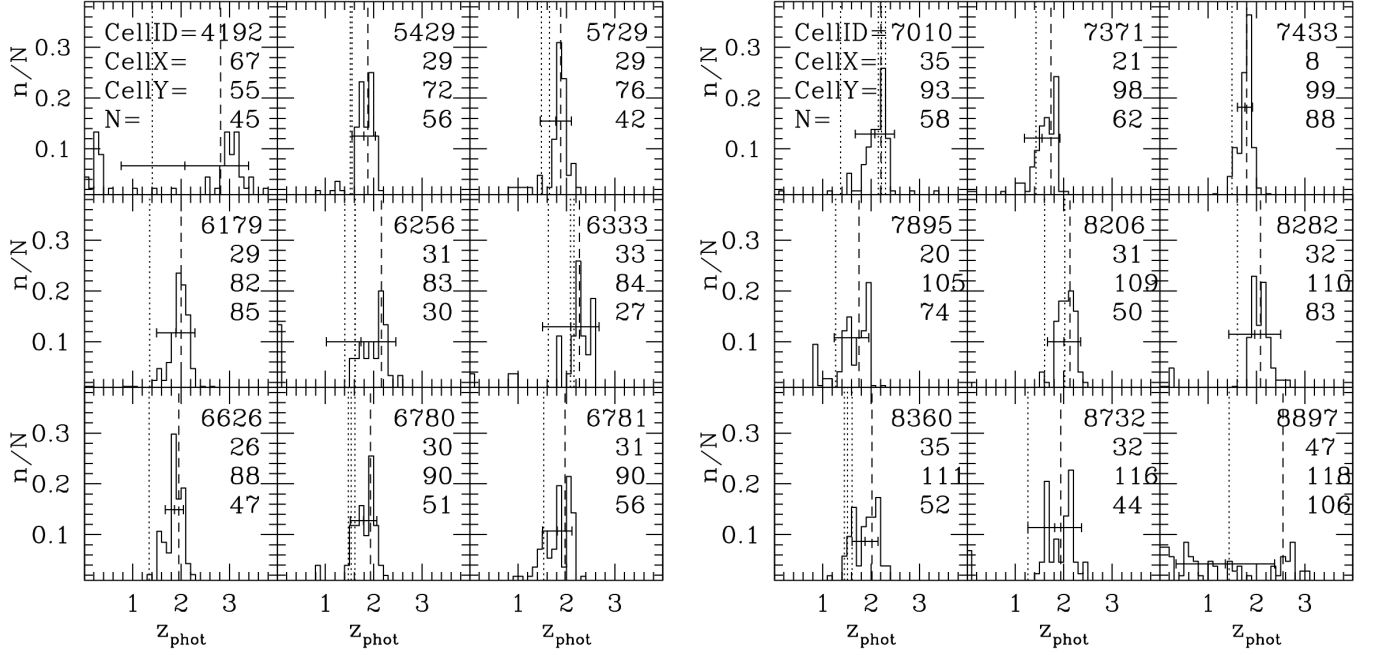


Fig. 3: Histogram of the  $z_{\text{phot}}$  of galaxies populating each cell falling in the gray region of the  $z_{\text{phot,SOM}}-z_{\text{spec}}$  plane (bottom left panel of Fig. 2). The distribution is normalized dividing the number of galaxies in each  $z_{\text{phot}}$  bin by the total number of  $z_{\text{phot}}$  populating the considered cell; the number is indicated with the letter N in the top left panel of the figures, and written at the same position in the others. Similarly, the cell number (CellID) and coordinates (CellX, CellY) are also given inside each panel. The  $z_{\text{phot,SOM}}$  is represented by the dashed line, whereas dotted lines indicate  $z_{\text{spec}}$  measured during our KMOS programme. The horizontal bar is centered on the mean  $z_{\text{phot}}$  is the rms of the histogram.

ing a high-quality spectroscopic redshift to all galaxies can be reached by analysing the distribution of the validated and non-validated targets in the SOM.

In the central panel of Fig. 6 validated cells are colour coded according to the value of the assigned  $z_{\text{spec}}$ . Cells populated with multiple observations have been assigned a median  $z_{\text{spec}}$  value. This panel highlights again a prevalence of low-redshift targets as already discussed in Sect. 6, mainly concentrated at low values of the  $X$ -indices, and spread along the whole  $Y$ -index range. In the right panel we show the  $z_{\text{phot}}$  of the observed targets for which we could not measure  $z_{\text{spec}}$ , and we mask the spectroscopically confirmed cells. The comparison between the  $z_{\text{spec}}$  and  $z_{\text{phot}}$  SOMs confirms that, despite the higher number of spectroscopically confirmed  $H$ -band targets, there is no systematic (photometric) redshift bias in the observed and non-validated targets: the SOM cells which were observed but could not be filled with a highly-confident  $z_{\text{spec}}$  have values ranging from the lowest  $H$ -band to the highest redshifts reachable with the  $K$ -band setup. However, if the lack of measurement is due to observational difficulties in the  $K$ -band and lower accuracy in the SED fitting  $z_{\text{phot}}$  determination used to select the observed targets, the cause of the concentration of lower-redshift ( $H$ -band) galaxies present in the bottom region of the SOM (dark blue cells) must be investigated more thoroughly.

We search for the reason of these spectroscopic failures in the colours and star-formation properties of galaxies. Figure 7 represents the rest-frame ( $u-g$ ) colour, the best-fit  $E(B-V)$  and SED fitting SFR of the non-validated sample. Again, the cells containing more than one target have been assigned a median value. The peculiarity of the bottom part of the SOM stands out: the galaxies populating these cells are, on average, redder and less

star-forming compared to the other empty cells. Moreover, as it noticeable from the  $E(B-V)$  shown in the middle panel, they are not particularly dusty. Our observing strategy, and in particular the integration time, may require modifications for obtaining the necessary SNR required to measure emission line redshifts.

## 7. Results II: The physical properties of galaxies

### 7.1. SED fitting analysis

The physical properties of galaxies were derived again for the spectroscopically confirmed targets, by taking advantage of the use of  $z_{\text{spec}}$  as a constraint to the fit. We applied the SED fitting code LePhare to the spectrophotometric catalogues obtained from merging the spectroscopic redshift measurement with the multi-band photometry collected from the parent surveys. **A detailed list of the filters used in the three fields is reported in table 4, and the appropriate reference to the parent photometric catalogues is given in the table caption.** The code is provided with spectroscopic redshifts and total magnitudes as input, and we set the priors on fitting parameters and galaxy libraries (based on a collection of different star formation histories, SFHs) taking advantage of the knowledge of the average properties of our target galaxies: these are high-redshift, star-forming galaxies, with consistent  $H\alpha$  emission. Out of the whole library of available models, we selected a number of exponentially declining SFHs ( $\tau$  models), of delayed SFH and of constant SFR, with subsolar ( $Z = 0.008$ ) and solar ( $Z = Z_{\odot} = 0.02$ ) metallicity. We use a fine grid of  $E(B-V)$  ranging from 0 to 0.7, and two different extinction laws (Calzetti et al. 2000; Arnouts et al. 2013), are also adopted. We obtain as output the stellar masses, absolute magnitudes, best fit  $E(B-V)$  values, and other physical parameters

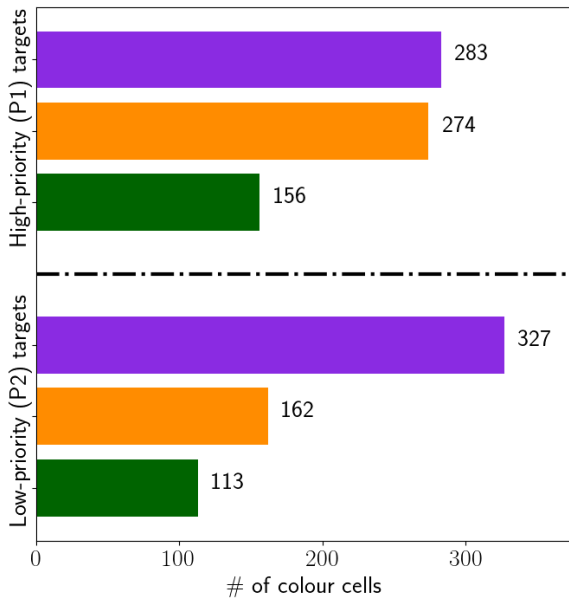


Fig. 4: Success rate in terms of number of cells filled with high-quality  $z_{\text{spec}}$ . The observed targets are divided into high (P1) and low (P2) priority targets according to the prioritization scheme described in Sect. 3.2. Purple horizontal bars represent the total number of undersampled cells requiring  $z_{\text{spec}}$  measurements; orange histograms represent the number of cells targeted by all KMOS observations, and green histograms represent the number of cells that provided accurate  $z_{\text{spec}}$  measurements.

such as the SFR. In the following, for stellar masses and SED fitting SFRs we will use the median values computed from the ML analysis of LePhare.

The histogram of the resulting stellar masses from LePhare in the three fields is shown in Fig. 8. The median stellar mass value in the total spectrophotometric sample of galaxies observed during the KMOS programme is  $\log_{10}(M_{\star}/M_{\odot}) = 9.69$ , and the values in the three different fields are:  $\log_{10}(M_{\star}/M_{\odot})_{\text{COSMOS}} = 9.73$ ,  $\log_{10}(M_{\star}/M_{\odot})_{\text{SXDF}} = 9.84$ ,  $\log_{10}(M_{\star}/M_{\odot})_{\text{VVDS}} = 9.62$ .

Besides the primary goal of determining and calibrating  $P(z|C)$ , the properties of the galaxies observed by the C3R2 survey is of unique importance and interest. Building a sample of spectra spanning the whole redshift range up to  $z \sim 2.5$  and covering the whole galaxy colour space will shed light on controversial aspects of galaxy evolution studies, and will help the acquisition of a general and complete picture of the galaxy zoology. The KMOS C3R2 programme provides a number of physical properties of the spectroscopically confirmed galaxies, such as total  $H\alpha$  fluxes and stellar masses. In the following sections, we will determine and discuss the physical properties of the spectroscopically confirmed galaxies in the COSMOS, VVDS and SXDF fields, leaving aside the ECDFS field which contributes with only 12 galaxies to the release.

## 7.2. $H\alpha$ fluxes

The velocity and  $H\alpha$  maps from KUBEVIZ allow the measurement of the total  $H\alpha$  flux of the sources. Starting from the coordinates of the center, the final  $z_{\text{spec}}$  and the velocity map, we estimate the  $H\alpha$  flux in a fixed circular aperture of  $1''.2$  radius. This corresponding to about 10 kpc at redshifts  $1.25 \lesssim z \lesssim 2.5$ .

Table 4: Summary of the photometry used in each field. The complete filter set used in the COSMOS and SXDF data release is given in Table 1 of Laigle et al. (2016) and Table 1 of Mehta et al. (2018).

Field	Instrument/Telescope (Survey)	Filter	Central $\lambda$ (Å)
COSMOS	GALEX	NUV	2313.9
		$u^*$	3823.3
	MegaCam/CFHT Suprime-Cam /Subaru	$B$	4458.3
		$V$	5477.8
		$r$	6288.7
		$i^+$	7683.9
		$z^{++}$	9105.7
	VIRCAM /VISTA (Ultra VISTA-DR2)	$Y^{UD}$	10214.2
		$J^{UD}$	12534.6
		$H^{UD}$	16453.4
		$K_S^{UD}$	21539.9
	IRAC/Spitzer (SPLASH)	ch1	35634.3
		ch2	45110.1
		ch3	57593.4
		ch4	79594.9
SXDF	MegaCam/CFHT HSC	$u^*$	3823.3
		$g$	4816
		$r$	6234
		$i$	7741
		$z$	8912
		$y$	9780
	VISTA (VIDEO)	$Y$	10211
		$J$	12541
		$H$	16464
		$K_S$	21488
	IRAC/Spitzer (SPLASH)	ch1	35573
		ch2	45049
		ch3	57386
		ch4	79274
VVDS	MegaCam/CFHT	$u$	3811
		$g$	4862
		$r$	6258
		$i$	7553
		$z$	8871
	VISTA (VIDEO)	$Y$	10211
		$J$	12541
		$H$	16464
		$K_S$	21488

van der Wel et al. (2014), using 3D-HST (*Hubble* Space Telescope) and CANDELS galaxies and ACS/F814W (8073.43 Å), WFC3/F125W (12501.04 Å), and WFC3/F160W (15418.27 Å) filters for measuring sizes, estimated the evolution of the effective radius ( $R_e$ ) of star-forming galaxies in various stellar mass and redshift bins. They estimated that massive star-forming galaxies ( $M_{\star} \sim 10^{11} M_{\odot}$ ) have  $R_e \sim 5$  kpc in the redshift range probed by our KMOS survey. Thus, considering that the stellar mass distribution of our galaxy sample is below  $10^{11} M_{\odot}$  (Fig. 8), we considered an aperture from the galaxy center that doubles the  $R_e$  estimated in van der Wel et al. (2014). In this way we sample our sources up to the outskirts and obtain the total emission line fluxes.

A summary of the procedure followed for computing the  $H\alpha$  aperture fluxes is shown, for a typical case of galaxy with velocity field, in Fig. 9. We start from the velocity difference with

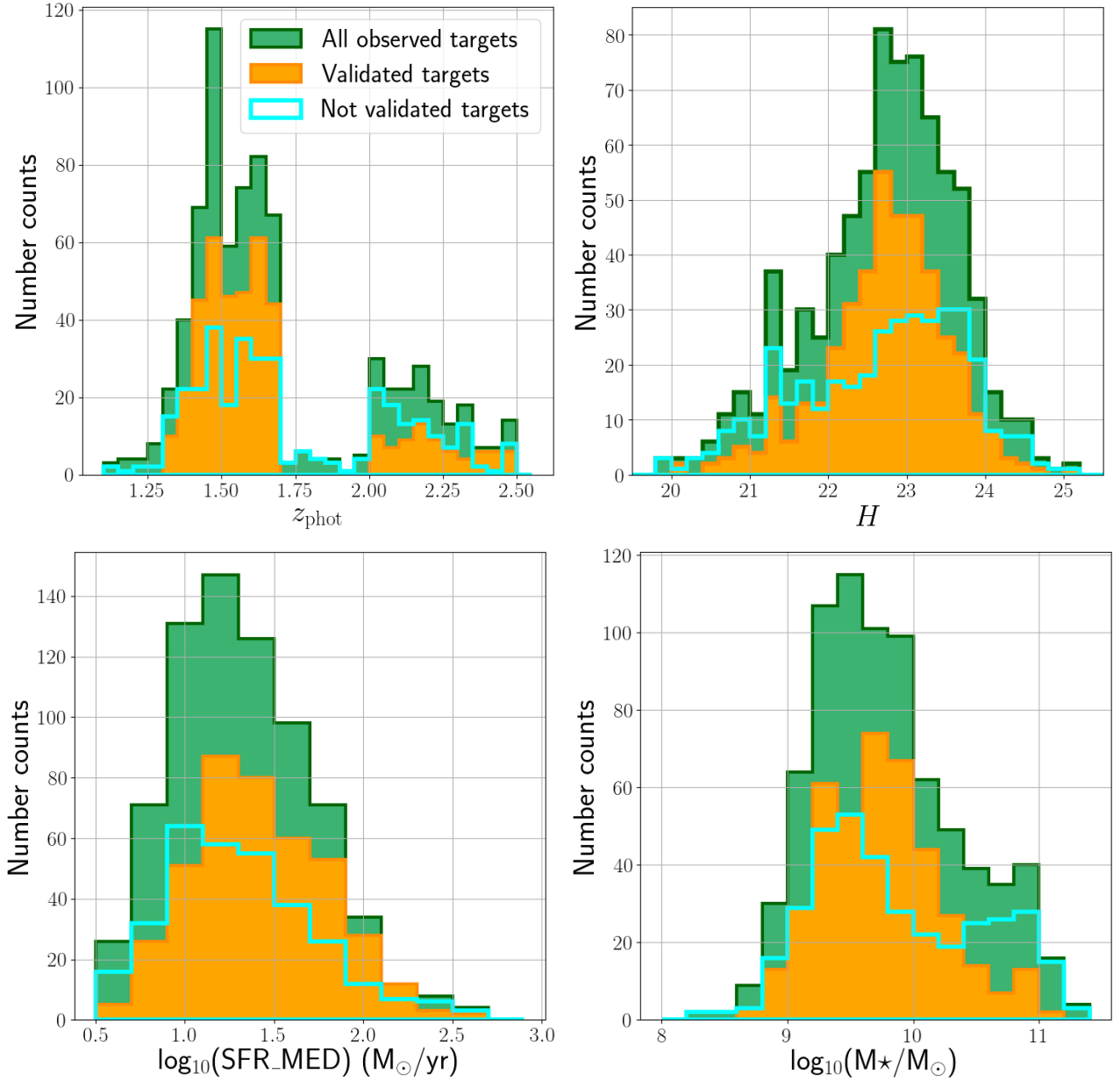


Fig. 5: *Top Left*: histogram of the  $z_{\text{phot}}$  of individual galaxies from the literature. *Top right*: histogram of the observed  $H$  total magnitude for all observed targets (green filled), for those with high-quality spectroscopic redshifts (validated targets; orange filled) and for those that could not be assigned a spectroscopic redshift (not validated targets; open blue line). *Bottom left*: histogram of the SFR derived from SED fitting for the same samples. *Bottom right*: histogram of the stellar mass derived from SED fitting for the same samples.

respect to the galaxy center estimated with KUBEVIZ and saved as output in the velocity map (top left panel of the figure). We assign a peculiar velocity also to the spaxels entering the  $1''.2$  circular aperture (shown by means of a distance matrix in the top left panel of the figure) which were flagged as bad from the KUBEVIZ fit. This value is computed as the mean of the peculiar velocities of the neighbouring spaxels, starting from the most populated (i.e. with the highest number of good fit neighbouring spaxels) regions in the map, in a progressive way. This method, leading to the smooth velocity map in the aperture (shown in the bottom right panel of the figure) assumes that the velocity curves we are considering are smooth (see Wilman et al. 2020), which is not a strong assumption for disk star-forming galaxies. We then produce a total rest-frame 1D spectrum in the aperture by summing

all the spaxels corrected for their relative velocity, as shown in Fig. 10 – where the same galaxy of Fig. 9 is used. Furthermore, we estimate the integrated flux by performing a weighted Gaussian fit to the total rest-frame  $H\alpha$  emission line, weighted for the noise spectrum. We subtract the continuum contribution in two different ways. (1) We give a rough estimate of the continuum of the spectrum as the median sigma clipped counts in two windows of 300 pixels width blueward and redward of the emission line; (2) we consider the continuum on the  $H\alpha$  emission as estimated by KUBEVIZ. The method outlined above for measuring the  $H\alpha$  emission line flux does not take into account the  $H\alpha$  stellar absorption, but this is small and can be neglected. Using synthetic spectra representative of our galaxy population (same redshift range, delayed SFHs in agreement with the LePhare



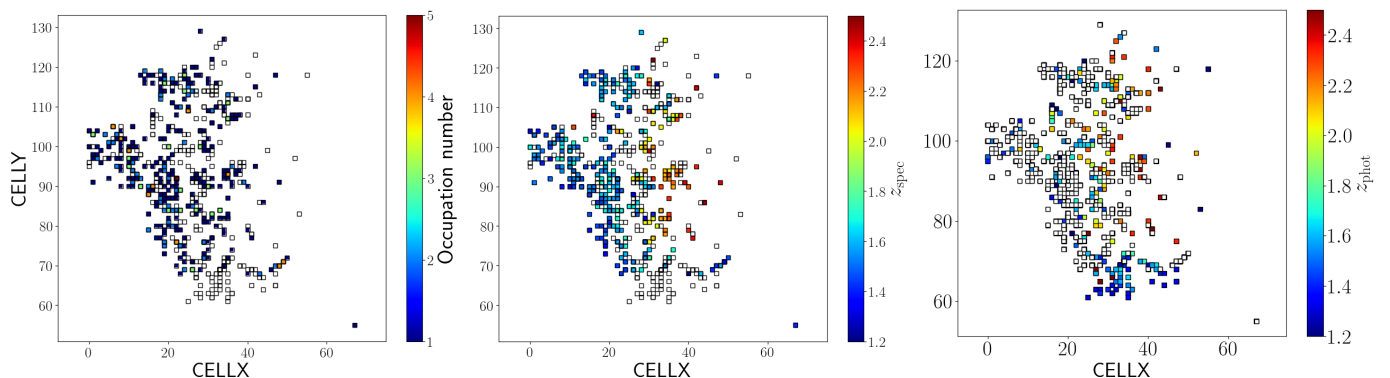


Fig. 6: Representation of the SOM cells targeted by the KMOS Programme. *Left*: coloured cells are filled with high-quality spectroscopic redshift measurements in the three fields targeted by our survey, whereas empty cells are occupied by observed and not spectroscopically confirmed targets. The high-quality spectroscopically assigned cells are colour coded according to the occupation level, i.e. the number of validated galaxies occupying the same colour cell. *Middle*: the SOM cells filled with high-quality spectroscopic redshift measurements are colour-coded according to the assigned  $z_{\text{spec}}$ . *Right*: the observed but still empty SOM cells are colour coded according to the  $z_{\text{phot}}$  of the observed targets, whereas high-quality spectroscopic redshift measurements are coloured in white.

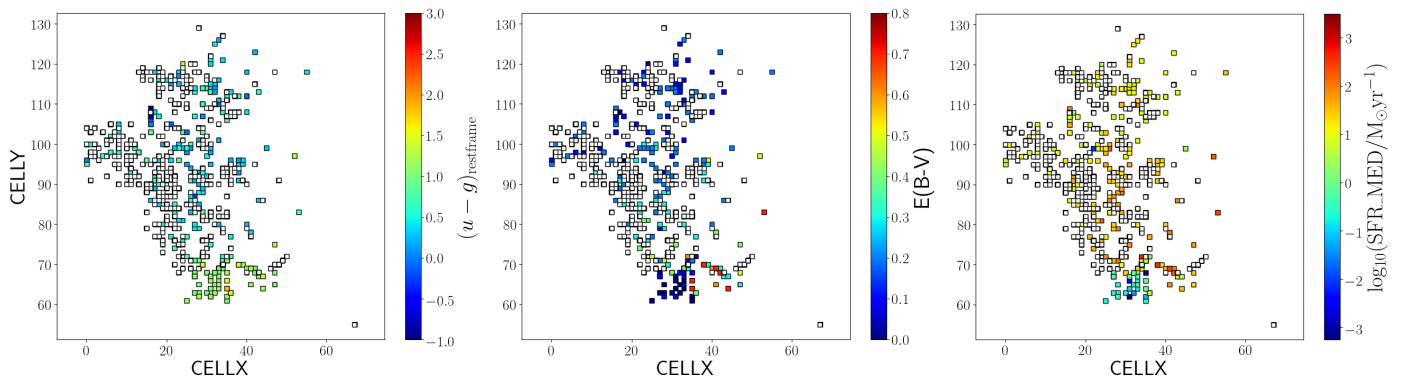


Fig. 7: Representation of the SOM cells targeted by the KMOS Programme. The cells filled with high-quality spectroscopic redshift measurements are coloured in white. *Left*: cells are colour coded according to the restframe  $(u - g)$  colour. *Middle*: the cells are colour-coded according to the best fit  $E(B - V)$  resulting from SED fitting analysis on the photometric sample. *Right*: the cells are colour-coded according to the best fit SFR resulting from SED fitting analysis on the photometric sample.

best fit models), we estimate that the ratio between the equivalent width (EW) of the  $H\alpha$  stellar absorption and the EW of the  $H\alpha$  emission line (as measured from the KMOS data) is lower than 5%.

### 7.3. The SFR-mass relation

$H\alpha$  flux is one of the primary SFR indicators, according to the well known Kennicutt (1998) relation that sets a proportionality between  $H\alpha$  flux and SFR, see Eq. 8 below. It is known that the extinction on the nebular emission is enhanced, on average, with respect to the extinction toward the stellar component, and several methods and calibrations have been performed to derive it: (1) observed spectra covering a broad-enough wavelength range allow the direct estimate of the absorption through the computation of observed emission line ratios and their comparison to the theoretical value set by quantum physics, such as the ratio of the Balmer nebular emission lines  $H\alpha/H\beta$ ; (2) a number of relations linking the absorption in the continuum to that in the emission lines (Calzetti et al. 2000; Wuyts et al. 2013) have been studied at various redshift and in different wavelength regimes in the last few years; and (3) the Kennicutt SFR- $H\alpha$  relation has also been

calibrated by means of multiple SFR indicators for deriving the best fit nebular extinction value *a posteriori*, such as the work performed in Kashino et al. (2019).

Considering the items above, the Kennicutt (1998) equation, for a Chabrier (2003) IMF, becomes:

$$F_{H\alpha} [\text{erg cm}^{-2} \text{s}^{-1}] = \frac{\text{SFR} [\text{M}_{\odot} \text{yr}^{-1}]}{4.6 \times 10^{-42}} \cdot \frac{1}{4\pi d_L^2} \cdot 10^{-0.4A_{H\alpha}}, \quad (8)$$

where  $d_L$  is the luminosity distance, and

$$A_{H\alpha} = K_{H\alpha} \frac{E(B - V)}{f_{\text{neb}}}. \quad (9)$$

$K_{H\alpha} = 2.54$  is the wavelength dependence of extinction according to Cardelli et al. (1989),  $E(B - V)$  is the reddening resulting from LePhare and  $f_{\text{neb}} = 0.53 \pm 0.01$  is the enhancement of extinction towards nebular lines calibrated in Kashino et al. (2019). The error associated to each object is 0.15 dex, and is added in quadrature to the typical error associated to the flux measurement (vertical errorbar in Figure 11).

We derived SFR using Eq. (8) with the  $H\alpha$  aperture fluxes (Sect. 7.2), and the luminosity distance based on the spectroscopic redshift measurements.



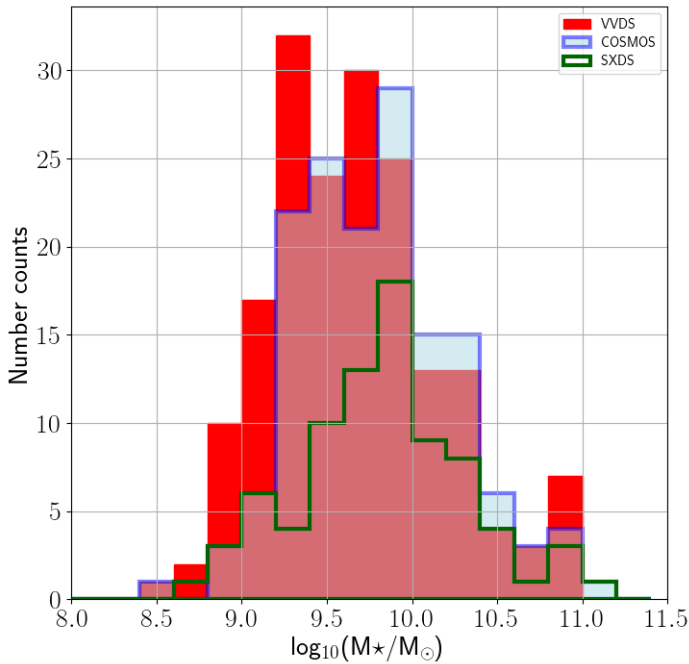


Fig. 8: Histogram of the stellar masses computed by LePhare on the spectrophotometric catalogues ( $z_{\text{spec}}$  sample) built in the three fields. The fields are shown with separate histograms as indicated by the legend.

Figure 11 shows the resulting  $H\alpha$ -based SFRs compared with those estimated from SED fitting with LePhare. Both distributions peak at  $\log_{10}(\text{SFR}/M_{\odot}\text{yr}^{-1}) \sim 1.0 - 1.5$  but SED fitting SFRs are systematically higher than those from aperture  $H\alpha$  fluxes (of the order of 0.05-0.1 dex in each of the three fields). **We point out that the SFR derived with LePhare are instantaneous, in agreement with the definition of  $H\alpha$ -based SFR.** However, differences may arise from (1) the necessary approximations adopted in the SED fitting procedure in order to derive SFR as well as other physical parameters (e.g. the number of input SED, the limited number of ages in the grid), (2) the uncertainties in the extinction values derived through the SED fitting (see Laigle et al. (2019) for details) and (3) the uncertainties in the relation between continuum and line absorption which we had to adopt for deriving the SFR from  $H\alpha$  fluxes. Furthermore, in light of the considerations previously performed on the sizes of our galaxy sample, this systematic shift is not likely to be attributable to the different area considered in the photometry with respect to the aperture considered for computing the total  $H\alpha$  flux. Indeed, as noticeable from the stellar mass distribution, these galaxies are less massive than those considered as a reference for choosing the appropriate flux aperture. Moreover, SFRs derived from SED fitting are compatible with the scatter of the plot around the 1:1 line (approximately 0.5 dex).

The distribution of the derived SFR and stellar masses in the SFR-mass plane is shown in Fig. 12. The star-forming main sequence (MS, black dashed line) parametrization adopted is a broken power law defined in the stellar mass range  $9.2 \leq \log_{10}(M_{\star}/M_{\odot}) \leq 11.2$  using UV+infrared SFRs from 3D-HST data at  $0.5 \leq z \leq 2.5$  in all CANDELS fields (Whitaker et al. 2014). In the  $H$ -band, the SFR – mass relation is lower than that at higher redshift ( $K$ -band). In particular, the distribution of both the KMOS  $H$  and  $K$ -band sources is systematically higher than

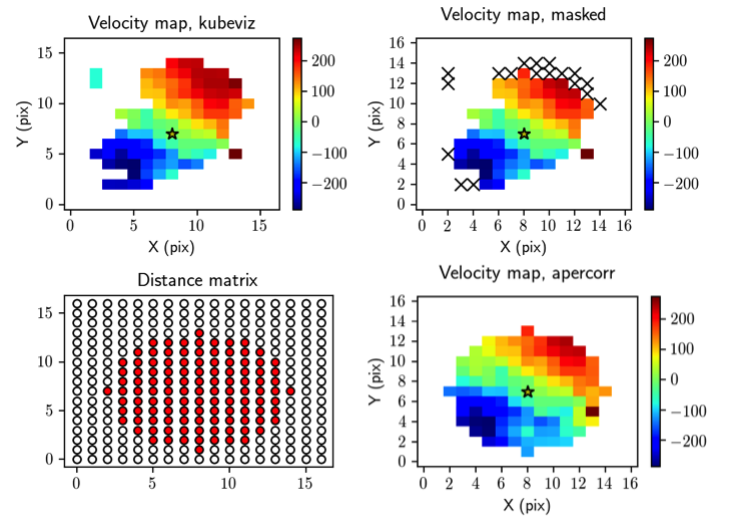


Fig. 9: Figure summarising the procedure followed to estimate the  $H\alpha$  flux within the  $1\frac{1}{2}$  radius aperture, for a typical case of galaxy with rotation curve. The *top left* panel shows the velocity map from KUBEVIZ. The star at the center of the image represents the pixel position from which the aperture is estimated. The *bottom left* panel shows the distance matrix that defines the 6 pixel radius corresponding to the aperture. The *top right* panel shows which spaxels from the original map are discarded because they fall outside the aperture. Finally, the *bottom right* panel shows the corrected velocity field obtained following the procedure described in the main text for assigning a peculiar velocity to the spaxels flagged as bad in KUBEVIZ.

the star-forming main sequence. As already discussed also in the SR analysis (Fig. 5, bottom right panel), this trend indicates that due to the low stellar mass of the galaxies observed there is a bias of the SR towards highly star-forming galaxies above the MS.

In the figure, we included also the SED fitting-based SFR of non-validated galaxies (grey crosses). As noticeable, at  $1.3 \leq z \leq 1.7$  ( $H$ -band), the population of low star-forming galaxies previously identified in Sect. 6.1 emerges; the distribution of grey crosses at  $2.0 \leq z \leq 2.5$  ( $K$ -band) is not remarkably different from that of spectroscopically confirmed targets (grey circles), further confirming that spectroscopic failures in this regime are more likely due to higher uncertainties in  $z_{\text{phot}}$ .

The KMOS@C3R2 stellar mass distribution peaks at  $\log_{10}(M_{\star}/M_{\odot}) \sim 9.5$ , which corresponds to the lower-edge of the stellar mass distribution of the KMOS 3D galaxies (Winiński et al. 2019). The integration between the two samples lays the groundwork for building a high-redshift SFR-mass relation which is able to probe a wider stellar mass range, with the ultimate goal of determining the characteristic mass above which a flattening of the MS relation is expected to occur (Elbaz et al. 2007 at  $z \sim 1$ ; Daddi et al. 2007 at  $z \sim 2$ ).

## 8. Catalogue release

Following the methodology outlined above, we built a table containing the redshift assigned in each of the observed pointings, together with some relevant information regarding the observed targets. The released catalogue collects all high-quality ( $Q \geq 3$ ) redshift measurements. Here below we describe the columns of

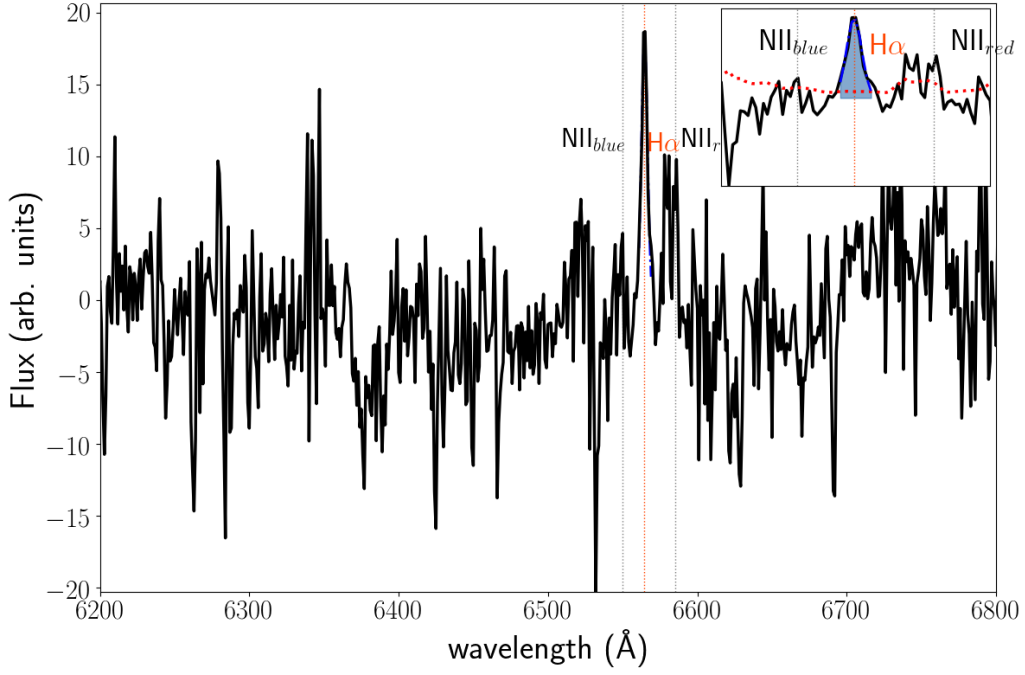


Fig. 10: 1D spectrum estimated by summing up all the spaxel spectra in the  $1''.2$  radius aperture, corrected for their peculiar velocity according to the aperture-corrected velocity map described in the main text (Sect. 7.2). The same galaxy shown in Fig. 9 is used. The main panel shows a wavelength cut of the whole 1D sum spectrum around the  $H\alpha$  and  $NII$  lines, which are indicated with orange and black dashed lines, respectively. The inset panel is a zoom-in around the  $H\alpha$  peak and shows the integral of the line which is estimated for measuring the total flux (light blue area) weighted by the noise (red dashed line) and continuum corrected.

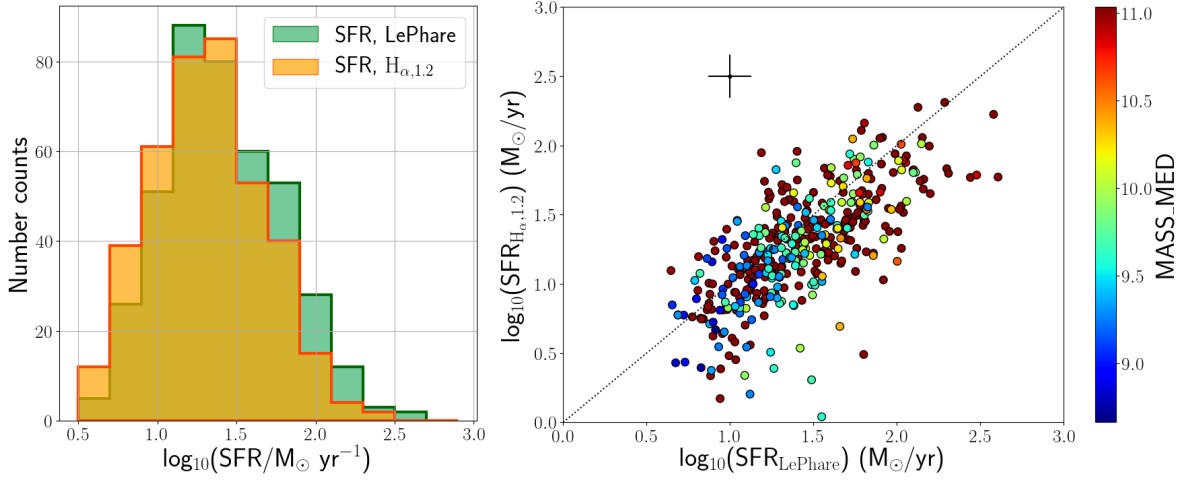


Fig. 11: *Left*: histogram of SFR derived from aperture  $H\alpha$  fluxes, and that estimated from LePhare SED fitting. *Right*: comparison between the  $H\alpha$  and SED-fitting SFRs, color coded by galaxy stellar mass. The black dashed line is the one to one correlation. The plot also shows the typical error on the SFR from LePhare (horizontal black errorbar, calculated using the SFR\_INF and SFR\_SUP released in the catalogue) and on the  $H\alpha$  SFR (considering a typical uncertainty of 10% on the flux measurement, see Wisnioski et al. 2019).

the catalogue, the properties of a subsample of galaxies are given in Table 5, while the total sample can be found at CDS.

The columns indicate the following parameters:

1. OBJ\_ID: identification number for galaxies.
2. RA: right ascension (deg).
3. Dec: declination (deg).
4. Pointing: name of the KMOS OB in which the galaxy has been observed (see Table 2).
5. Z\_SPEC: redshift assigned and validated as described in Sect. 5.
6. Q\_flag: quality flag of the redshift measurement, assigned according to the criteria described in Sect. 5.
7. PHOTO-Z: Photometric redshift from the galaxy parent survey (details are given in Sect. 5.3).
8. priority (M17): observational priority of the target, according to the scheme described in M17.
9. EBV\_BEST:  $E(B - V)$  computed with LePhare.
10. MASS\_INF: 16th percentile of the galaxy stellar mass from the maximum likelihood (ML) analysis of LePhare.

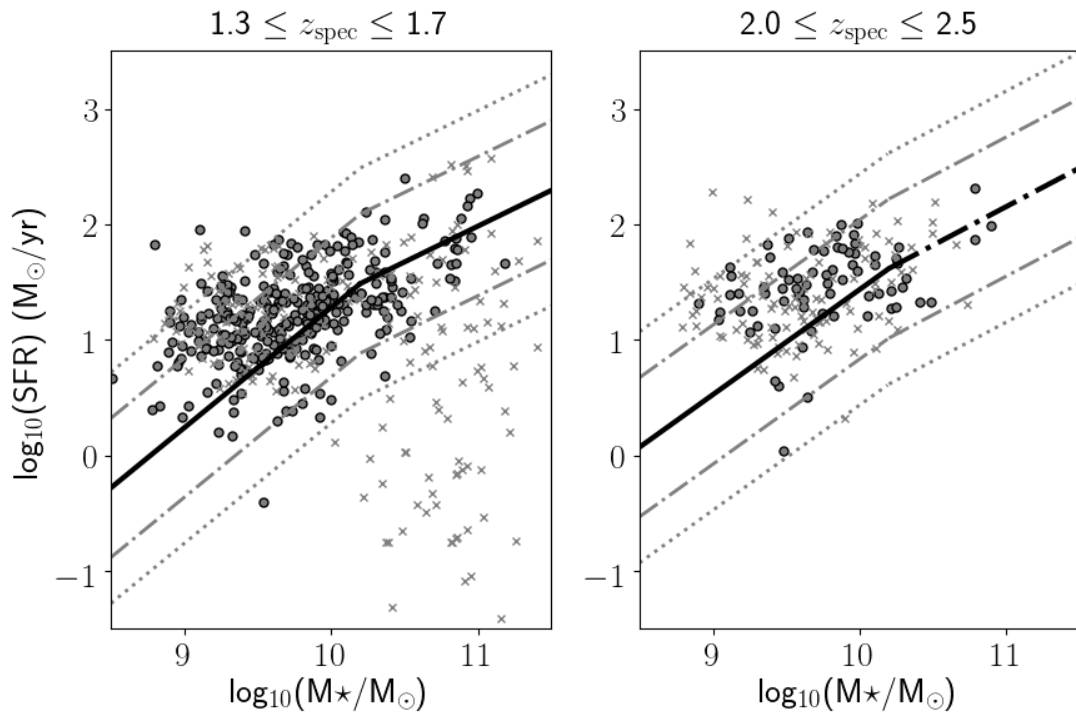


Fig. 12: ( $H\alpha$ – based) SFR (grey circles) and (SED fitting based) SFR (grey crosses) vs stellar mass. The left panel shows the lower redshift targets observed in  $H$ -band in the three surveys considered in the scientific analysis presented here, and the *right* panel represent the same for higher redshift  $K$ -band targets. The black solid lines are the best fit to the star-forming main sequence (MS) in the same redshift range from Whitaker et al. (2014); the dashed and dotted lines show  $4\times$  and  $10\times$  above and below the MS, and bracket the distribution of the datapoints of the 3D-HST galaxies (see Fig. 7 in Wisnioski et al. 2019).

11. MASS\_MED: median value of the galaxy stellar mass from the ML analysis of LePhare.
12. MASS\_SUP: 84th percentile of the galaxy stellar mass from the ML analysis of LePhare.
13. SFR\_INF: 16th percentile of the SFR from the maximum likelihood (ML) analysis of LePhare..
14. SFR\_MED: median value of the SFR from the ML analysis of LePhare.
15. SFR\_SUP: 84th percentile of the SFR from the ML analysis of LePhare.
16.  $F_{H\alpha,1.2}$ :  $H\alpha$  flux computed within an aperture of  $1''.2$  radius (see Sect. 7.2).

## 9. Conclusions

In this work, we present the first results of a 200 h ESO Large Programme (199.A-0732; PI F.J. Castander) consisting of VLT spectroscopic observations, as part of the C3R2 survey. The main goal of C3R2 is to acquire accurate spectroscopic redshifts across the relevant galaxy colour space in order to determine the colour-redshift relation with the accuracy required for the *Euclid* weak lensing cosmological survey. As a contribution to this challenging goal, we release a spectrophotometric catalogue of high-redshift star-forming galaxies observed during 88 h with the near-infrared KMOS spectrograph. A total of 424 high-quality spectroscopic redshifts has been determined during five semesters in four extragalactic fields (COSMOS, SXDF, ECFDS and VVDS-02h), mainly measured as single emission line redshifts ( $Q \leq 3.5$ ) in two near-infrared filters: the  $H$  ( $1.456 - 1.846 \mu\text{m}$ ) filter allows us to detect  $H\alpha$  ( $\lambda = 6564.61 \text{ \AA}$ ) at  $1.3 \leq z \leq 1.7$  and the  $K$  ( $1.934 - 2.460 \mu\text{m}$ ) filter allows us to

detect  $H\alpha$  at  $2.0 \leq z \leq 2.5$ . Of the 424 high-quality spectroscopic redshifts assigned, 255 (60%) are based on single emission line identification (or multiple emission line with unsatisfactory SNR), and the remaining 40% are computed using multiple lines. The main results can be divided in two categories, summarised as follows:

### The spectroscopic SR

A total number of 150 new redshifts were measured to galaxies belonging to the COSMOS field, 81 redshifts to galaxies belonging to the SXDF field and 181 to galaxies in the VVDS-02h field, with an overall SR of 60.7% for  $H$ -band observations and 32.8% for  $K$ -band observations. We divided our target galaxies into two priority classes (P1 and P2). We were able to fill the 57% of the observed P1 empty cells of the galaxy colour SOM, and 70% of the observed P2 empty cells. From Fig. 4 we notice that less than 4% of P1 cells and about 50% of P2 cells in the near-infrared domain remain unexplored. However, 18 out to the total 269 cells we filled presented some problematics in terms of  $z_{\text{phot}}$  distribution, and need to be further investigated and, possibly, excluded from the *Euclid* calibration sample. Considering our spectroscopic failures, we found that they mainly include (1)  $K$ -band targets whose SR is lower due to observational difficulties and lower accuracy of the  $z_{\text{phot}}$  estimate used at the sample selection stage, and (2)  $H$ -band galaxies with redder colours and lower SFR, which are more difficult to detect with the 1 h integration time adopted by our observations.

**A follow-up near-infrared observing programme is currently ongoing with the Large Binocular Telescope (LBT), making use of the two multi-object spectrographs LUCI**

Table 5: Subsample of ten galaxies in the catalogue with their properties. The full table can be found at CDS. The explanation of the different columns is given in Sect. 8. The column ‘ID’ is repeated at the beginning of each part of the table for the sake of clarity. We estimated that, due to the uncertainties in the spectrophotometric calibrations, the precision on the  $H\alpha$  flux measurement is not better than 10%.

OBJ_ID	RA	Dec	Pointing	Z_SPEC	Q_flag
373952	150.36320	2.46340	P100_COSMOS_HaHP1	1.7195	4.0
399202	150.37578	2.51607	P100_COSMOS_HaHP1	1.5130	4.0
399761	150.34360	2.51690	P100_COSMOS_HaHP1	1.3991	4.0
388984	34.74180	-4.86346	P100_SXDF_HaKP2	2.3486	3.0
105609	34.59267	-5.35292	P100_SXDF_haHP1_v2	1.6199	3.0
111251	34.61345	-5.34167	P100_SXDF_haHP1_v2	1.5970	4.0
122473	34.61895	-5.31527	P100_SXDF_haHP1_v2	1.6256	3.0
274911	36.720165	-4.46552	P100_VVDS_HaHP2	1.6092	4.0
394673	36.31682	-4.244806	P102_VVDS_HaHP2	1.5689	4.0
247070	36.87232	-4.51824	P101_VVDS_HaHP2	1.5130	4.0
390870	36.33725	-4.25240	P99_VVDS_HaHP2_v2	1.4341	3.5

OBJ_ID	PHOTO-Z	priority (M17)	EBV_BEST
373952	1.5269	500	0.1
377914	1.6315	250	0.1
399202	1.4793	500	0.3
399761	1.3806	400	0.3
400978	1.5295	1000	0.3
405462	1.5557	200	0.3
405597	1.4334	250	0.3
405666	1.4477	200	0.2
405763	1.4553	1000	0.5

OBJ_ID	MASS_INF $\log_{10}(M_{\star}/M_{\odot})$	MASS_MED $\log_{10}(M_{\star}/M_{\odot})$	MASS_SUP $\log_{10}(M_{\star}/M_{\odot})$	SFR_INF $\log_{10}(M_{\odot} \text{ yr}^{-1})$	SFR_MED $\log_{10}(M_{\odot} \text{ yr}^{-1})$	SFR_SUP $\log_{10}(M_{\odot} \text{ yr}^{-1})$	$F_{H\alpha, 1.2}$ $10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$
373952	8.93	9.15	9.25	0.89	1.04	1.43	4.44
377914	9.10	9.35	9.49	0.83	0.98	1.33	4.45
399202	10.01	10.11	10.18	0.95	1.15	1.38	7.87
399761	9.80	10.06	10.14	1.11	1.24	1.57	6.98
400978	9.23	9.53	9.68	1.06	1.36	1.50	5.13
405462	9.95	10.00	10.05	1.33	1.44	1.55	8.10
405597	10.37	10.41	10.44	1.72	1.80	1.88	12.91
405666	9.66	9.73	9.80	1.01	1.11	1.19	10.22
405763	10.18	10.36	10.46	1.47	1.68	1.93	3.24

and LUCI2. Our observing strategy is to simultaneously observe the same pointing using  $H$  and  $K$  band masks with LUCI1 and LUCI2, maintaining the same integration time of KMOS observations (1 h). This allows to observe many galaxies simultaneously in both filters, to help us understanding how much of the non-detection can be assessed by having a broader wavelength range in the spectrum (e.g. in case of the more insecure photo- $z$  estimates in  $K$  band targets).

#### *The physical properties of the released galaxies*

We measured the physical properties of the spectroscopically confirmed galaxies using their KMOS resolved spectra and their optical+near-infrared photometry from public data release catalogues in the three fields. We measured total  $H\alpha$  fluxes in  $1''.2$  radius apertures from the total 1D spectrum obtained after correcting each spaxel for its peculiar velocity, and computed other physical parameters such as stellar masses, absolute magnitudes, and extinction from SED fitting with fixed spectroscopic redshift. The stellar mass distribution of our sample peaks at  $\log_{10}(M_{\star}/M_{\odot}) = 9.69$  and is similar within the error bars across the three fields. We finally derived SFRs from the aperture  $H\alpha$  flux following the Kennicutt (1998) prescription, taking into account enhanced extinction towards nebular lines in the star-

forming regions according to Kashino et al. 2019. We studied the distribution of our galaxies in the SFR-mass plane and compared our datapoints with the best fit high-redshift main sequence from Whitaker et al. (2014). Galaxies observed during our KMOS programme are located, on average, at higher SFRs with respect to the average population of similar stellar masses. This result is due, especially at low-stellar masses, to the limitations imposed by our observing strategy, whose primary goal was to maximise the number of spectroscopic redshifts measured. The peculiarity of our galaxy sample with respect to the literature, and in particular with respect to the KMOS-3D survey, is the stellar mass regime exploited. Our galaxies are, on average, less massive than those observed in KMOS-3D, and could be used as a starting point for future studies aiming at probing the lower stellar mass regime of the high-redshift SFR-mass relation.

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